

**ADVANCED REVIEW**

# Ab initio nonadiabatic molecular dynamics investigations on the excited carriers in condensed matter systems

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The ultrafast dynamics of photoexcited charge carriers in condensed matter systems play an important role in optoelectronics and solar energy conversion. Yet it is challenging to understand such multidimensional dynamics at the atomic scale. Combining the real-time time-dependent density functional theory with fewest-switches surface hopping scheme, we develop time-dependent ab initio nonadiabatic molecular dynamics (NAMD) code Hefei-NAMD to simulate the excited carrier dynamics in condensed matter systems. Using this method, we have investigated the interfacial charge transfer dynamics, the electron-hole recombination dynamics, and the excited spin-polarized hole dynamics in different condensed matter systems. The time-dependent dynamics of excited carriers are studied in energy, real and momentum spaces. In addition, the coupling of the excited carriers with phonons, defects and molecular adsorptions are investigated. The state-of-art NAMD studies provide unique insights to understand the ultrafast dynamics of the excited carriers in different condensed matter systems at the atomic scale.

This article is categorized under:

Structure and Mechanism > Computational Materials Science  
 Molecular and Statistical Mechanics > Molecular Dynamics and Monte-Carlo Methods  
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**KEYWORDS**

excited carrier dynamics, Hefei-NAMD, nonadiabatic molecular dynamics, real-time time-dependent density functional theory

## 1 | INTRODUCTION

Excited carrier dynamics play an important role in different research areas. For example, significant efforts have been devoted to search for environmentally friendly materials for solar energy conversion,<sup>1–6</sup> in which the efficiency strongly depends on the dynamics of the photogenerated electron-hole (e-h) pairs. In photovoltaics and photocatalysis, it is important to separate the e-h pairs, increasing the lifetime of the excited carriers so that the photoenergy can be changed to electric or chemical energy through these excited carriers. The charge transfer dynamics of excited charge carrier to the adsorbed molecules are important for the photocatalysis reactions. Therefore, to improve the solar energy conversion efficiency, it is important to understand the crucial factors which determine the pathway and timescale of charge transfer and e-h recombination. In

addition, for photonic device applications, several important figures of merit are closely linked to photogenerated carrier dynamics.<sup>7–12</sup> For instance, for the application in light emitting devices, it is crucial to suppress the nonradiative e–h recombination. In the contrary, for the applications as optical switches, the lifetime of excited carrier is required to be short so that there can be a fast response. Thus, it is highly desirable to control the photocarrier behavior in different materials, including relaxation pathways and dynamics, to suit the needs of various device functionalities. Moreover, for the newly developed quantum materials,<sup>3,13</sup> the elementary excitation properties are essential to be investigated and understood.

In recent decades, *ab initio* theoretical calculations based on density functional theory (DFT) have been widely used to investigate the electronic structure of condensed matter systems and great success has been achieved. Beyond DFT level, GW approximation based on many-body theory has been used to correct the quasi-particle energy levels obtained by DFT.<sup>14–18</sup> Together with the Bethe–Salpeter equation (BSE), the binding energy of exciton can be obtained and the optical spectra can be simulated.<sup>19–25</sup> In addition to that, linear response time-dependent DFT (LR-TDDFT) has also been used to study the excitation energies.<sup>26,27</sup> Great achievements have been obtained for the investigation of the ground and excited states in condensed matter systems based on these methods, yet usually only the static electronic structure information can be obtained based on these methods. The dynamics of the excited carriers, however, cannot be investigated based on these methods.

To investigate the excited carriers dynamics, other methods, such as molecular dynamics (MD), which directly mimics the real-time dynamical process, is necessary. Conventional MD is based on two assumptions: (a) the Born–Oppenheimer (adiabatic) approximation which separates the electronic and nuclei motion, reducing the nuclei motion on a single adiabatic potential energy surface (PES) and (b) the classical treatment of the nuclei. Processes such as electron transfer,<sup>28–30</sup> charge transport, electronic friction at metal surfaces<sup>31,32</sup> and nonradiative decay after photoexcitation often involve multiple PESs, with nonadiabatic (NA) transitions among them. In addition, for systems containing small mass elements, for example, hydrogen, zero-point motion and tunneling effects may not be ignored and as a result require a quantum mechanical description of the nuclei.<sup>33–35</sup> To address all these issues, the most ambitious approach is to treat the entire system, including the nuclei, quantum-mechanically. Unfortunately, the formidable computational costs restrict the scope of the application and can only be applied to small systems within short time scales under the current computation resources. If the nuclear quantum effects are known to be unimportant, then one can proceed via a mixed quantum-classical (MQC) method, where only the electrons are treated quantum mechanically while the nuclei are considered as classical particles.

The key issue in the MQC method is the quantum-classical self-consistency (QCSCF) problem: the quantum subsystem must propagate correctly under the influence of the classical subsystem, with transitions between the quantum states along the evolution; meanwhile, the classical subsystem must respond accordingly to the quantum transitions, which comprises the so-called “quantum-backreaction” problem.<sup>36</sup> A proper treatment of the latter is especially challenging. In this regard, the two most widely used methods are Ehrenfest method (also known as mean-field method) and surface hopping method. In the mean-field approach, the classical subsystem evolves on a single PES, which is weight-averaged over the quantum states. The method is invariant to the choice of quantum representation, conserves the total energy and usually provides good description of the transition probabilities. However, the correlation between the quantum and classical subsystems is not correctly described, which means that this approach is valid when the different quantum states involved in the dynamics are not significantly different.<sup>37–39</sup> Surface hopping method was proposed to deal with the quantum-classical correlation by introducing stochastic hops between different PESs.<sup>40,41</sup> The hopping algorithm is not unique and the most popular one is Tully's fewest-switches algorithm.<sup>41</sup> In addition, surface hopping satisfies microscopic reversibility while Ehrenfest method does not.<sup>36</sup> For a comprehensive review of the two methods, please refer to References 36–40,42. As to the quantum evolution part of the QCSCF, one can proceed via real-time time-dependent DFT (RT-TDDFT) where the quantum-backreaction is treated in the Ehrenfest scheme, as is implemented in octopus<sup>43</sup> and Time Dependent *Ab-initio* Package (TDAP).<sup>44,45</sup> A more general way is to expand the electronic wavefunction in a basis set (representation), the Schrödinger equation then becomes a set of equations for the expanding coefficients. Under this scheme, the knowledge of the ground state, excited states and nonadiabatic couplings (NACs) between them are required. General quantum chemistry method can be used to generate all the information needed and it has been implemented in a few codes.<sup>40,46–51</sup> In addition, Tavernelli and coworkers<sup>52</sup> showed that the necessary quantities can also be rigorously derived from LR-TDDFT. Prezhdo and coworkers<sup>47,48,53</sup> develop a scheme where adiabatic Kohn–Sham (KS) orbitals are used to expand the electronic wavefunction. It has been shown that the adiabatic KS orbitals can be seen as zeroth-order approximation to LR-TDDFT adiabatic states.<sup>54</sup> This expansion along with the so-called classical path approximation (CPA),<sup>39,47,55</sup> in which the nuclear dynamics of the system remains unaffected by the dynamics of the electronic degrees of freedom, significantly reduces the computational cost and can be applied to condensed matter systems with large number of atoms.

We have developed home-made time-dependent *ab initio* NAMD code (Hefei-NAMD) combining the TDDFT and surface hopping schemes based on CPA approximation.<sup>56</sup> The adiabatic KS orbitals are used as basis sets to expand the electronic wavefunction. Using this method, we have investigated the nonradiative excited carrier dynamics in different condensed matter systems including the interfacial charge transfer dynamics, the e–h recombination dynamics and the excited spin-polarized

hole dynamics. In this study, we have reviewed our recent research works related to this topic. The article is organized as follows. Section 1 introduces the method of NAMD simulation. Section 2 reviews the interfacial charge transfer dynamics at interfaces of molecule/solid and van der Waals (vdW) heterostructure formed by two-dimensional (2D) materials. Section 3 reviews the e–h recombination dynamics in doped semiconductor. Section 4 reviews the photogenerated spin hole dynamics in doped 2D MoS<sub>2</sub>.

## 2 | METHODOLOGY

The ab initio NAMD simulations are carried out using home-made Hefei-NAMD code<sup>56</sup> which augments the Vienna ab initio simulation package (VASP)<sup>57–59</sup> with the NAMD capabilities within time-domain density functional theory (TDDFT) similar to References 47,48. The time-dependent KS (TDKS) orbitals  $\psi_e(\mathbf{r}, t)$  are expanded in the basis of instantaneous adiabatic KS orbitals  $\phi_j(\mathbf{r}, \mathbf{R}(t))$ , which are obtained by solving time-independent KS equation at configuration  $\mathbf{R}(t)$

$$\psi_e(\mathbf{r}, t) = \sum_j c_j(t) \phi_j(\mathbf{r}, \mathbf{R}(t)) \quad (1)$$

by inserting Equation (1) into TDKS equation,<sup>60</sup> a set of differential equations for the coefficients is produced:

$$i\hbar \frac{\partial}{\partial t} c_j(t) = \sum_k c_k(t) [\varepsilon_k \delta_{jk} - i\hbar \mathbf{d}_{jk}(t)] \quad (2)$$

The square modulus of the coefficients,  $|c_j(t)|^2$ , can be explained as the population of the corresponding KS orbitals. Here,  $\varepsilon_k$  is the energy of the adiabatic KS state and  $\mathbf{d}_{jk}$  is the NACs between KS states  $j$  and  $k$ . The NAC can be written as:

$$\mathbf{d}_{jk} = \left\langle \phi_j \left| \frac{\partial}{\partial t} \right| \phi_k \right\rangle = \sum_I \frac{\langle \phi_j | \nabla_{\mathbf{R}_I} \mathcal{H} | \phi_k \rangle}{\varepsilon_k - \varepsilon_j} \cdot \dot{\mathbf{R}}_I \quad (3)$$

where  $\mathcal{H}$  is the KS Hamiltonian,  $\phi_j$ ,  $\phi_k$ ,  $\varepsilon_j$  and  $\varepsilon_k$  are the wave functions and eigenvalues for electronic states  $j$  and  $k$ , and  $\dot{\mathbf{R}}_I$  is velocity of the nuclei. Thus, the NACs mainly depend on the energy difference term  $\varepsilon_k - \varepsilon_j$ , the electron–phonon (e–p) coupling term  $\langle \phi_j | \nabla_{\mathbf{R}_I} \mathcal{H} | \phi_k \rangle$  and the nuclear velocity term  $\dot{\mathbf{R}}_I$ .

The NACs are calculated in a finite difference method.<sup>61,62</sup> With the coefficients  $c_j(t)$  and the NACs, hopping probabilities between the adiabatic KS states according to Tully's fewest-switches algorithm can be obtained as<sup>41</sup>:

$$P_{j \rightarrow k}(t, \Delta t) = \frac{2\Re [c_j^* c_k \mathbf{d}_{jk}] \Delta t}{c_j^* c_j} \quad (4)$$

Further, the probabilities are multiplied by a Boltzmann factor within the CPA.<sup>47</sup> Besides FSSH, the decoherence-induced surface hopping was also implemented in Hefei-NAMD.<sup>63</sup>

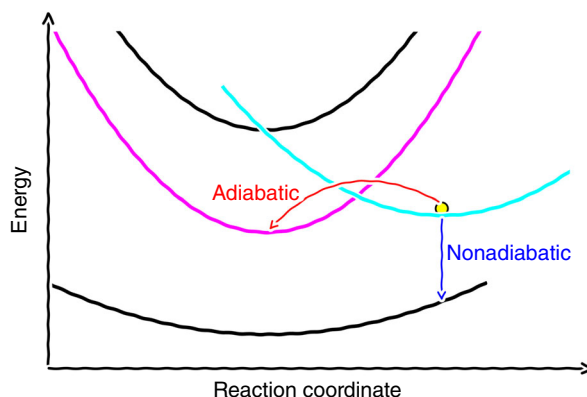
The time-dependent real-space information of the photocarrier can be obtained as the norm-square of the time-dependent KS orbitals  $|\psi_e(\mathbf{r}, t)|^2$ . By expanding using Equation (1), we have

$$\rho(\mathbf{r}, t) = |\psi_e(\mathbf{r}, t)|^2 = \sum_j c_j^*(t) c_j(t) \phi_j^*(\mathbf{r}, \mathbf{R}(t)) \phi_j(\mathbf{r}, \mathbf{R}(t)) \quad (5)$$

A further separation of the above quantity can be obtained by taking the time derivative of Equation (5):

$$\begin{aligned} \frac{d\rho(\mathbf{r}, t)}{dt} &= \sum_j \left[ \frac{d}{dt} c_j^*(t) c_j(t) \right] \phi_j^*(\mathbf{r}, \mathbf{R}(t)) \phi_j(\mathbf{r}, \mathbf{R}(t)) \\ &+ c_j^*(t) c_j(t) \left[ \frac{d}{dt} \phi_j^*(\mathbf{r}, \mathbf{R}(t)) \phi_j(\mathbf{r}, \mathbf{R}(t)) \right] \end{aligned} \quad (6)$$

As can be seen from Equation (6), the time variation can be clearly divided into two parts: a change primarily due to the variation of the KS states populations  $c_j^*(t) c_j(t)$ , which comes from the first part of the equation and we denote it as the NA contribution. This corresponds to the process indicated by the blue arrow in Figure 1. For the second part of the equation, the time variation derives from the change of the adiabatic KS orbitals and as a result, we refer to this part as the adiabatic contribution (AD) as is shown by the red arrow in Figure 1.<sup>64</sup>



**FIGURE 1** Schematics of the adiabatic (red solid line) and nonadiabatic (blue solid line) charge transfer process. The solid black lines represent the potential energy surface

For condensed matter system, the knowledge of the dynamics in the momentum space is also very important. To include the phonon contribution from different momentum, usually the ab initio MD is performed using a supercell with Brillouin Zone (BZ) sampled only at  $\Gamma$  point. In this case, the k-points of the primitive cell BZ are folded onto the  $\Gamma$  point of the supercell BZ. The band structure unfolding can be realized as discussed in Reference 65. Zheng also developed a home-made band unfolding code for VASP calculations.<sup>66</sup>

### 3 | INTERFICIAL CHARGE TRANSFER DYNAMICS

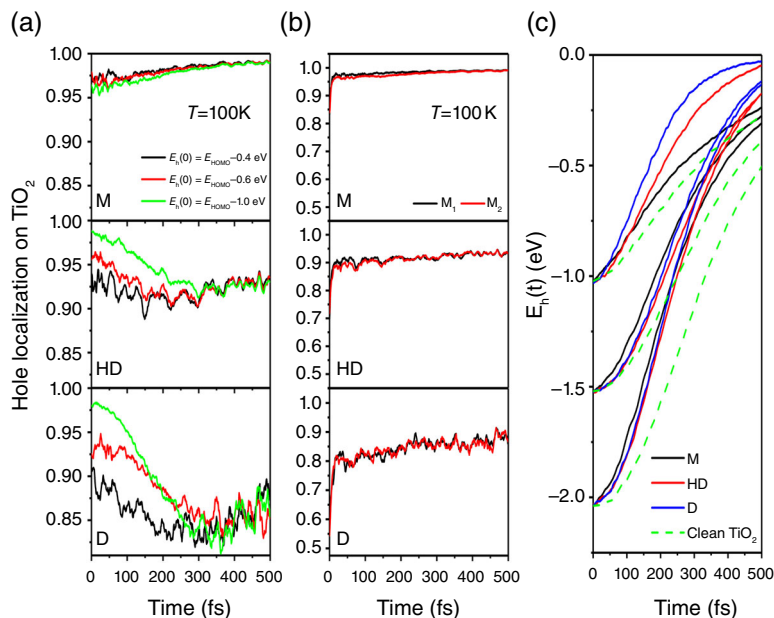
#### 3.1 | Ultrafast dynamics of photogenerated holes at a $\text{CH}_3\text{OH}/\text{TiO}_2$ rutile interface

$\text{TiO}_2$  is a wide band gap semiconductor which has important applications in photocatalysis and photovoltaics. The ultrafast charge transfer dynamics of the photogenerated carriers at the aqueous/ $\text{TiO}_2$  surface are important for the solar energy conversion efficiency.<sup>67–81</sup>  $\text{CH}_3\text{OH}$  is known as hole scavenger which can trap the photogenerated holes at  $\text{TiO}_2$  surface and Chu et al.<sup>82</sup> investigated the photogenerated hole dynamics at  $\text{CH}_3\text{OH}/\text{TiO}_2$  using ab initio NAMD simulations. The forward and reverse hole transfer processes as well as the hot hole relaxation between  $\text{TiO}_2$  and  $\text{CH}_3\text{OH}$  were studied. The hole-scavenging ability of  $\text{CH}_3\text{OH}$  with different adsorption structures were investigated too.

##### 3.1.1 | Forward hole transfer from $\text{TiO}_2$ to adsorbates

The forward hole transfer was defined to be the hole transfer from  $\text{TiO}_2$  to adsorbates. In such a process the  $\text{TiO}_2$  bulk states and highest occupied molecular orbitals (HOMOs) of  $\text{CH}_3\text{OH}$  act as the donor and acceptor states, respectively. The efficiency of such a process determines the hole-scavenging ability of the adsorbates. To investigate such a process, photogenerated holes at  $-0.4$ ,  $-0.6$ ,  $-1.0$  eV below the averaged HOMO energy over the two  $\text{CH}_3\text{OH}$  molecules and over MD trajectory at 100 K ( $E_{\text{HOMO}}$ ) were generated as initial holes. The forward hole transfer properties at  $\text{CH}_3\text{OH}/\text{TiO}_2$  of different adsorption structures (molecular, half-dissociated and fully dissociated, labeled as M, HD and D) are shown in Figure 2a. At  $t = 0$  fs, the hole localization on  $\text{TiO}_2$  is higher than 90% for all three structures. For the M structure, there is almost no forward hole transfer from  $\text{TiO}_2$  to the molecule within 500 fs. For D structure, the hole transfer reaches 20% at around 300 fs and then some charge oscillation follows indicating that both forward and reverse charge transfers happen. The total hole localization on  $\text{TiO}_2$  is kept at around 85%. This forward hole transfer is insensitive to the initial energies of the holes.

As has been discussed in the methodology part, the charge transfer process is contributed by AD and NA mechanisms. The NA mechanism corresponds to the direct tunneling or hopping between the donor and acceptor states. The AD charge transfer is provoked by the nuclear motion and often happens at the crossings of electronic states where the NAC increases distinctly because of the hybridization of different states. Fischer et al.<sup>83</sup> showed that both of these two mechanisms contribute to the charge transfer process. Both the NA and AD components are very small for the M structure because the hole remains largely on  $\text{TiO}_2$ . For the HD and D structures, the NA and AD mechanisms compete with each other in the forward hole transfer process. The NA mechanism contributes to the hole hopping to the molecular HOMO because it is energetically favored. The AD mechanism favors the reverse hole transfer from the molecule back to the  $\text{TiO}_2$  surface because AD charge transfer is possible to happen whenever the HOMO of  $\text{CH}_3\text{OH}$  crosses the  $\text{TiO}_2$  states. The NA charge transfer is dominant and this causes the partial hole transfer from  $\text{TiO}_2$  to adsorbed molecules.



**FIGURE 2** (a) Averaged forward hole transfer from TiO<sub>2</sub> bulk states to adsorbed molecules at 100 K. (b) Averaged reverse hole transfer from adsorbed molecules to TiO<sub>2</sub> bulk states at 100 K. (c) Time dependence of energy relaxation of photogenerated holes with different initial energies at 100 K. (Reprinted with permission from Reference 82. Copyright 2016 American Chemical Society)

### 3.1.2 | Reverse hole transfer from adsorbates to TiO<sub>2</sub>

The reverse hole transfer process, which determines the lifetime of trapped holes, was also discussed by Chu et al.<sup>82</sup> The initial photogenerated hole was generated on HOMOs of CH<sub>3</sub>OH molecules. However, because of the strong hybridization between CH<sub>3</sub>OH and TiO<sub>2</sub>, the initial hole state has substantial contribution from TiO<sub>2</sub> surface. The reverse hole transfer process was found to happen in an ultrafast way, which is within 10 fs for all different adsorption structures as shown in Figure 2b. Yet for the D structure, there is around 20% of the hole remains on CH<sub>3</sub>OH after the initial back hole transfer process, which is possible to initiate the following photochemical reactions.

Such ultrafast reverse hole transfer process is mainly determined by the AD charge transfer mechanism. This is attributed to the high density of states (DOSs) contributed by TiO<sub>2</sub> at the energy of CH<sub>3</sub>OH HOMO. Due to the e–p interaction, the HOMO state crosses TiO<sub>2</sub> valance band (VB) states frequently during a MD run, which lead to sufficient AD charge transfer. As discussed in Reference 82, the C–O stretching mode at 900 cm<sup>-1</sup> plays an important role in the reverse hole transfer.

### 3.1.3 | Hole energy relaxation to VBM

Besides the forward and reverse hole transfers between molecule and TiO<sub>2</sub>, the rate of the energy relaxation to VBM, which is induced by e–p coupling, was also discussed.<sup>82</sup> By taking the average energy of valence band maximum (VBM) as the reference, the time-dependent hole energy ( $E_h(t)$ ) for a range of initial hole excitations were calculated. Figure 2c shows  $E_h(t)$  at 100 K with the initial hole energies  $E_h(0)$  to be -2.0, -1.5 and -1.0 eV. The three different adsorption structures and the clean TiO<sub>2</sub> surface were investigated. It is found that the molecular adsorption accelerates the hole relaxation to VBM. With the initial hole energy  $E_h(0) = -2.0$  eV, such adsorption-induced acceleration is significant for all the M, D and HD structures. Nevertheless, for  $E_h(0) = -1.0$  eV, the acceleration is only distinct for the D structure.

Such adsorption-induced acceleration can attribute to the e–p coupling of the CH<sub>3</sub>OH HOMO, which shows strong time-dependent energy fluctuations as shown in Reference 82. Level crossings, which are induced by such fluctuations, favor the AD charge transfer and as a result additional energy relaxation channels are opened. Naturally, the molecular HOMO energies determine such acceleration. For the M structure, the HOMOs are located in the range of -2.5 to -0.8 eV and therefore the hole relaxation acceleration for  $E_h(0) = -1.0$  eV is not significant. For the D structure, the HOMOs are located above -1.1 eV and thus the hole relaxation for  $E_h(0) = -1.0$  eV is distinct.

Since both the hole transfer and relaxation dynamics at CH<sub>3</sub>OH/TiO<sub>2</sub> interface are affected by the e–p interaction, it is interesting to investigate the temperature dependence. As shown by Chu et al.,<sup>82</sup> decreasing the temperature from 100 to 30 K suppress the forward hole transfer and the hole relaxation process. Recently, both the forward and reverse hole transfer were measured in CH<sub>3</sub>OH/C<sub>3</sub>N<sub>4</sub> system. It was also proved that the CH<sub>3</sub>O is the dominate species for hole trapping.<sup>84</sup> The time-scales for the forward and reverse hole transfer obtained in the experiments are at ps magnitude, which are much longer than the theoretical results for CH<sub>3</sub>OH/TiO<sub>2</sub>. This might be due to the complicated environment in the experiments which is not

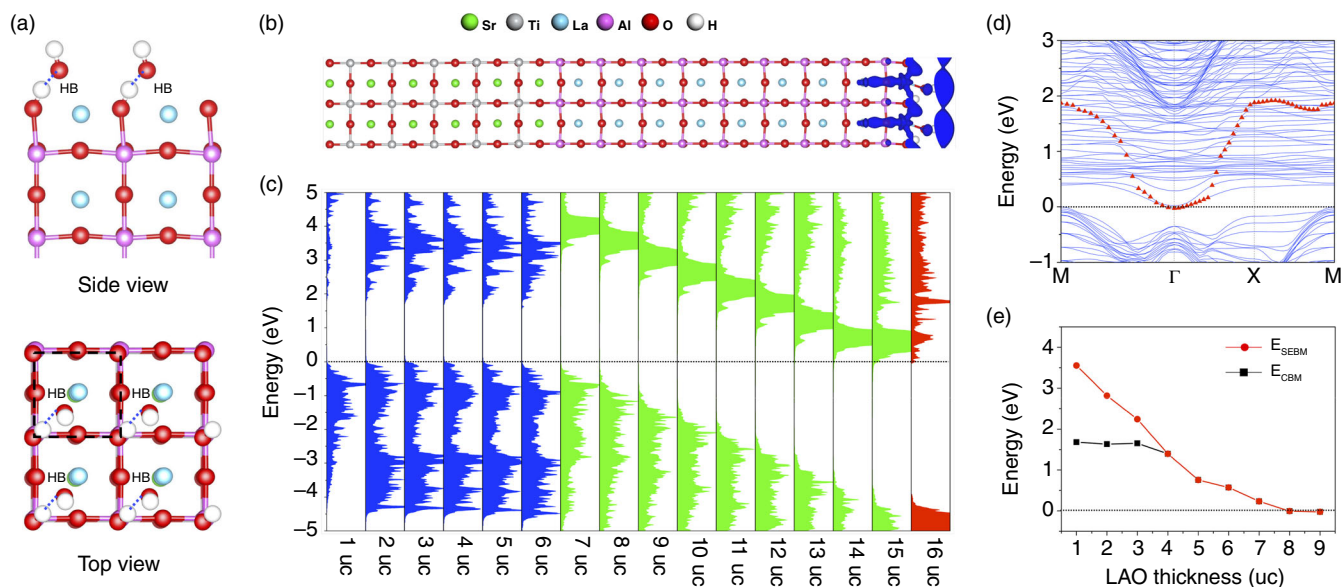
included in the simulation. It should also be mentioned that the NAMD simulations by Chu et al. is based on CPA approximation, in which the nuclear dynamics are not affected by the excited holes. In this framework, the excited hole induced photochemical reactions of CH<sub>3</sub>OH on TiO<sub>2</sub> cannot be studied. Kolesov et al.<sup>85</sup> studied the following photo-oxidation process, in which methoxy (CH<sub>3</sub>O) converts into formaldehyde (CH<sub>2</sub>O), using Erhenfest dynamics based on TDDFT. In their work they found that the photogenerated hole can be trapped by CH<sub>3</sub>O in 50 fs, which is faster than what Chu et al. obtained using NAMD based on FSSH. The C–H bond cleavage happens between 50 and 75 fs. The deviation between the FSSH and the Erhenfest dynamics may be due to the different treatments and approximations in these two methods. Controlled time-resolved experiments with high accuracy are required for the benchmarks of different theoretical methods.

#### 4 | ULTRAFAST DYNAMICS OF SOLVATED ELECTRONS AT H<sub>2</sub>O/LAO/STO INTERFACE

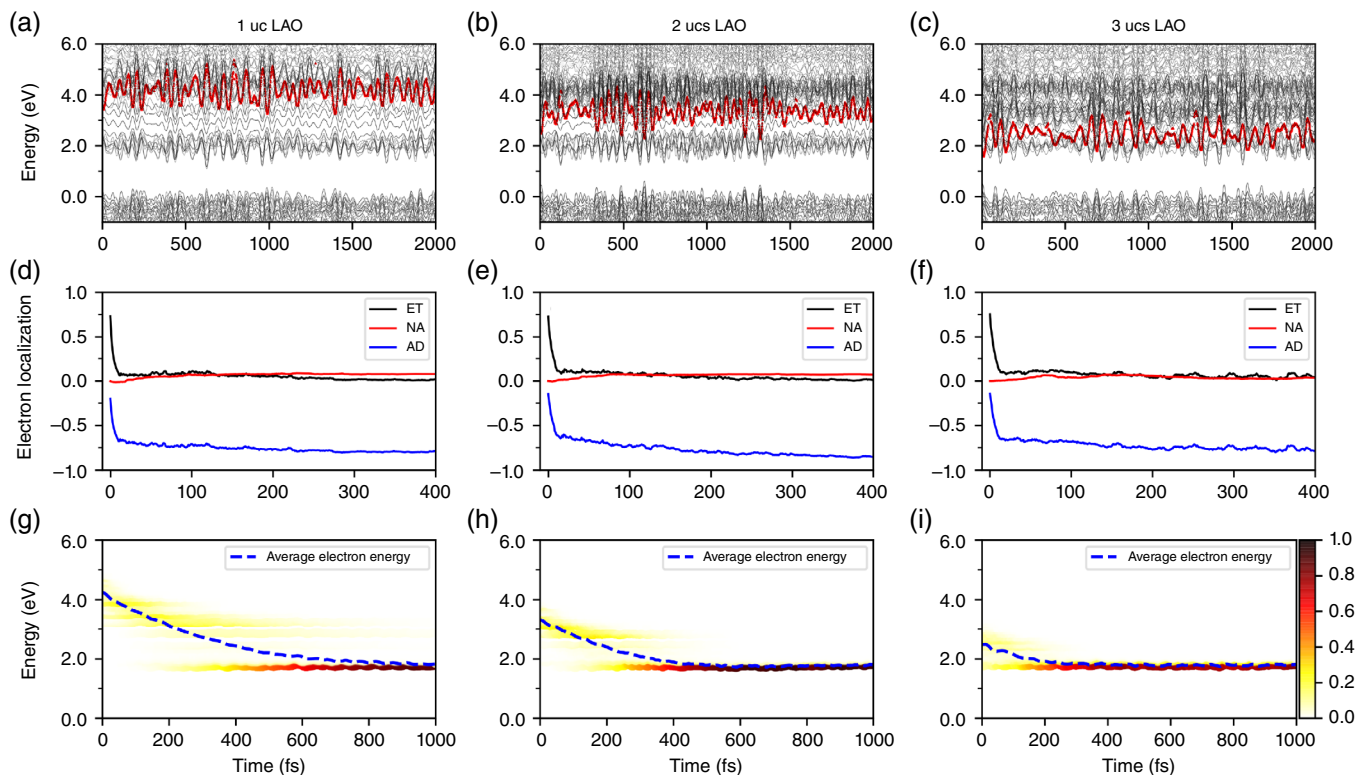
Different with the photogenerated hole dynamics, the solvated electron states represent the lowest states which can be occupied by the photogenerated electrons and were proposed to be an important media in the photocatalysis at oxide/aqueous interface.<sup>83,86–94</sup> However, previous studies show that the solvated electron states are usually located at higher energies than the conduction band minimum (CBM), which makes them difficult to be utilized in the charge transfer processes in photocatalysis.<sup>86,90,92,94</sup> Therefore, the reduction of solvated electron state energies are essential to improve the efficiency of photocatalysis.

Taking LaAlO<sub>3</sub>/SrTiO<sub>3</sub> (LAO/STO) heterostructure with one monolayer H<sub>2</sub>O adsorption as a prototypical system, Wang et al.<sup>95</sup> showed that the energy and dynamics of solvated electron can be tuned by the built-in electric field in the polar-nonpolar oxide heterostructure using DFT and ab initio NAMD. Especially for LAO/STO with *p*-type interface, the solvated electron states can be stabilized distinctly by the electric field in LAO. As shown in Figure 3, the solvated electron band minimum (SEBM) decreases with the increase of the LAO thickness. When LAO is thicker than 4 unit cells (ucs), SEBM becomes CBM of the whole system. When LAO reaches 8 ucs, SEBM can even be stabilized to the Fermi level ( $E_F$ ) and partially occupied.

The *p*-type LAO/STO interface can successfully stabilize the solvated electron states. However, the SEBM is still above the CBM when LAO is thinner than 4 ucs. In this case, the electrons excited to the solvated electron states will transfer back to the LAO/STO surface within a certain lifetime and such charge-transfer dynamics of solvated electrons were investigated using NAMD simulations. The time-dependent energy level evolution, electron localization in H<sub>2</sub>O layer and averaged energy of the photogenerated solvated electron for H<sub>2</sub>O on LAO/STO with 1–3 ucs LAO are shown in Figure 4. One can see that about 80% of the solvated electrons transfer to LAO/STO within 10 fs, showing an ultrafast behavior. Such an ultrafast



**FIGURE 3** (a) Side and top views of 1ML H<sub>2</sub>O adsorbed on *p*-type LAO/STO heterostructure. (b) Spatial orbital distribution of the solvated state in H<sub>2</sub>O layer. (c) Layer-resolved density of state for every LAO, STO and H<sub>2</sub>O layer, represented by green, blue and red shades. The dash line represents the position of  $E_F$ . (d) Band structure of 1ML H<sub>2</sub>O adsorbed on *p*-type LAO/STO. The solvated electron band is marked by red triangles. (e) The dependence of solvated electron band minimum (SEBM) and conduction band minimum (CBM) energies on LAO thickness. The energy of VBM is set as the reference in (c–e). The results in (a–d) correspond to 1ML H<sub>2</sub>O adsorbed on *p*-type LAO/STO with 9 ucs LAO. (Reprinted with permission from Reference 95. Copyright 2018 American Chemical Society)



**FIGURE 4** Charge transfer dynamics of solvated electron of 1ML H<sub>2</sub>O adsorbed on *p*-type of LAO/STO with 1–3 ucs LAO. (a–c) Time-dependent energy state evolution. The black (red) lines represent the energy levels contributed by LAO/STO (SEBM of H<sub>2</sub>O). (d–f) Time-dependent electron localization projected onto the H<sub>2</sub>O layer and *p*-type LAO/STO substrate. The total electron transfer (labeled as ET with black line) and the AD and NA contributions (blue and red lines) to the charge transfer are also plotted. (g–i) Time-dependent electron energy change. The energy of VBM is set as reference in panel (a–c) and (g–i). (Reprinted with permission from Reference 95. Copyright 2018 American Chemical Society)

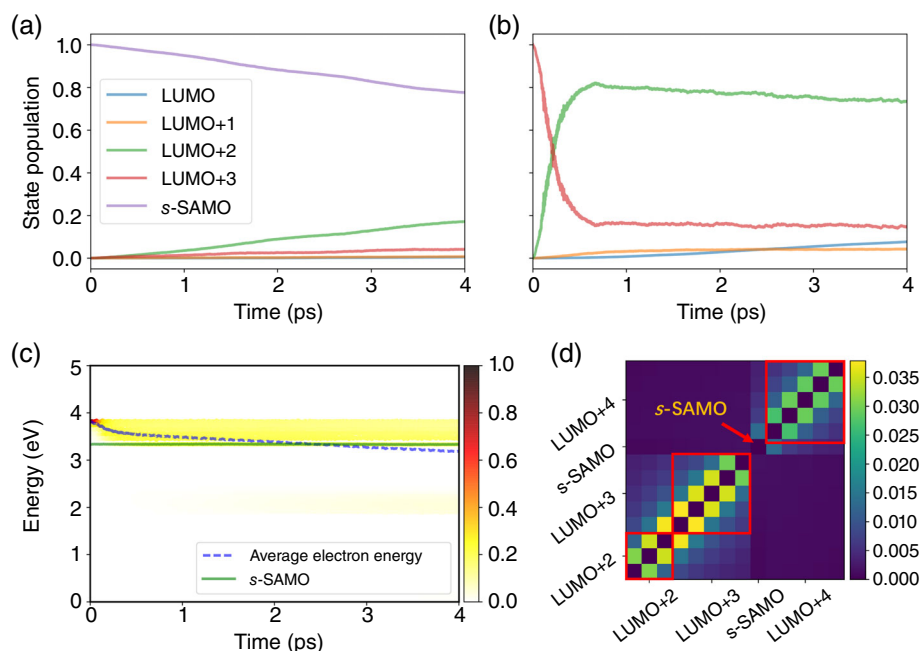
dynamics are dominated by the AD charge transfer mechanism due to the multiple crossings between the solvated electron states with the LAO bulk states, showing similar behavior with the reverse hole transfer from CH<sub>3</sub>OH to TiO<sub>2</sub> surface. Photocatalytic process is generally difficult to take place within such a short timescale. Yet when the LAO thickness reaches 4 ucs, the SEBM becomes the CBM of the whole system and the lifetime of solvated electrons can be much longer. When LAO thickness reaches 8 ucs, the SEBM can even be occupied. This method of tuning the solvated electron state energy at aqueous/oxide surface is proposed to be generally applicable for different polar–nonpolar systems as well as the ferroelectric systems as the electric field can exist in such systems.

## 5 | SUPERATOM MOLECULAR ORBITAL AS AN INTERFACIAL CHARGE SEPARATION STATE

In the process of solar energy conversion, including the photocatalysis and photovoltaics, the energy loss during hot carrier cooling through e–p coupling is an important factor which can limit the efficiency of solar energy conversion.<sup>96</sup> A charge separation state, which can extract the hot carriers before they cool, can be used to enhance the efficiency of solar energy conversion. Usually such charge separation state can also produce an enhanced photovoltage and reduce the e–h recombination.<sup>97,98</sup> In such a charge separation process, it is required that the interfacial charge transfer across the contacts must be faster than the rate of carriers cooling.<sup>99</sup> In spite of numerous investigations on the charge separation mechanism at the hybrid solar cell interfaces,<sup>98,100–110</sup> this is still one of the most important and challenging problems in this field. Using NAMD simulations, Guo et al.<sup>111</sup> proposed that the superatom molecular orbitals (SAMOs) and the nearly free electron (NFE) states of carbon nanostructures which were initially discovered by Feng et al.,<sup>112</sup> is an ideal media for interfacial charge separation.

### 5.1 | Phonon bottleneck in hot electron relaxation induced by SAMO

Distinct from the well-known  $\pi^*$  states in fullerenes, SAMOs are bound to the central screening potential of fullerenes instead of individual C atoms, showing NFE character. The NAMD simulations show that the lifetime of the hot electron on SAMO showing character analogous to *s* orbital (*s*-SAMO) is much longer comparing to  $\pi^*$  orbitals. As shown in Figure 5a, the hot



**FIGURE 5** The time-dependent state population evolutions for LUMO to *s*-SAMO where the hot electron is initially excited at (a) *s*-SAMO or (b) LUMO +3, respectively. (c) The time-dependent averaged energy evolution for hot electron initiated from a state 0.5 eV above the SAMO. The color strip indicates the hot electron distribution on different energy states and the dashed line represents the averaged hot electron energy. (d) The NACs among different states from LUMO+2 to LUMO+4 (due to the degeneracy, LUMO+2, +3 and +4 are framed by red box). (Reprinted with permission from Reference 111. Copyright 2018 American Chemical Society)

electron on *s*-SAMO decays by around 20% in 0.5 ps. By contrast, for LUMO+3, which is a typical  $\pi^*$  orbital, the hot electron decays by more than 80% within 0.5 ps (Figure 5b). By fitting the decay to an exponential function, the lifetime of *s*-SAMO can be estimated to be around 20 ps, which is 40 times longer than that of lowest unoccupied molecular orbital (LUMO)+3. Figure 5c shows that when a hot electron is generated at 0.5 eV above the *s*-SAMO, it will decay to *s*-SAMO within 1 ps. After that, the subsequent relaxation is much slower. One can see that *s*-SAMO behaves exactly as a “bottleneck” for hot electron cooling.

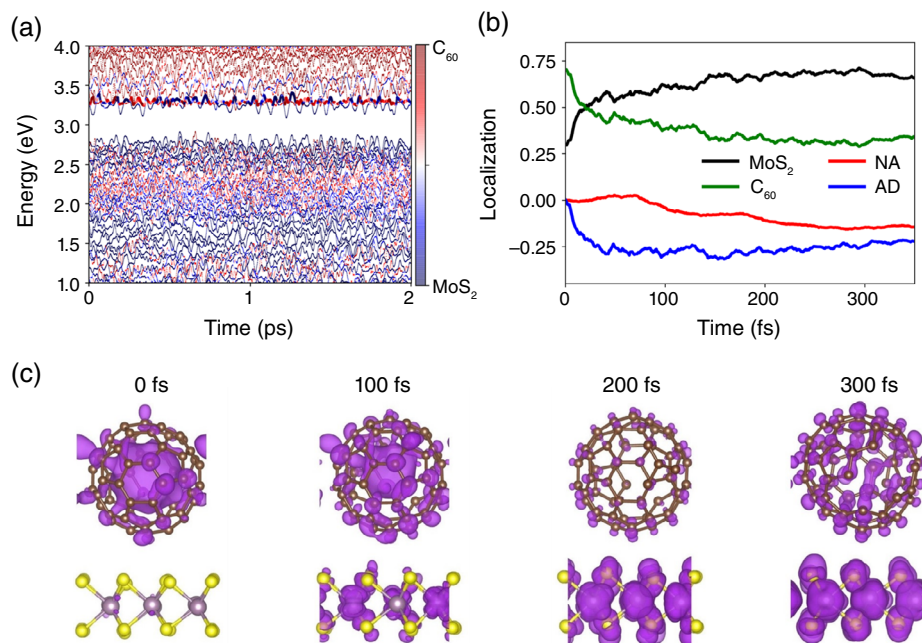
The slowdown of the hot electron cooling of *s*-SAMO can be understood using the “phonon bottleneck” concept.<sup>113–117</sup> Previous studies proposed that the energy gap between different levels is important for phonon bottleneck.<sup>117–121</sup> Here the phonon bottleneck induced by *s*-SAMO is due to two factors: the energy gap as large as 1 eV below *s*-SAMO and the weak e–p coupling. In agreement with previous studies, Guo et al.<sup>111</sup> proved that *s*-SAMO of C<sub>60</sub> has weak e–p coupling due to the diffusive NFE character. As shown in Equation (3), the small e–p coupling induces a small NAC between *s*-SAMO and other states, which can be seen in Figure 5d. And the reduction of NAC will directly suppress the charge relaxation between different states.

## 5.2 | Fast interfacial charge transfer induced by SAMO

Besides the phonon bottleneck for the internal hot electron cooling, the *s*-SAMO can also induce the fast interfacial charge transfer. Guo et al. chose MoS<sub>2</sub> as a substrate to couple with C<sub>60</sub> due to its important applications in photonics and photovoltaics.<sup>78,122–124</sup> The time-dependent energy evolution of electronic states of C<sub>60</sub>/MoS<sub>2</sub> are shown in Figure 6a. One can see that during the MD simulation, the MoS<sub>2</sub> levels cross *s*-SAMO frequently. The hybridization between *s*-SAMO and MoS<sub>2</sub> is significant when their energies are close because of the diffuse NFE character of *s*-SAMO and the charge transfer induced by the AD mechanism is enhanced. As shown in Figure 6b, the charge transfer from *s*-SAMO to MoS<sub>2</sub> happens within 200 fs, which is two orders of magnitude faster than the hot electron cooling from *s*-SAMO.

To conclude, by performing time-dependent ab initio NAMD simulations on prototypical system C<sub>60</sub>/MoS<sub>2</sub>, it was shown that *s*-SAMO of C<sub>60</sub> behaves as an important charge separation state. Due to its NFE properties, on one hand the *s*-SAMO of C<sub>60</sub> generates a phonon bottleneck for the internal electron relaxation. On the other hand, it induces significant hybridization at C<sub>60</sub>/MoS<sub>2</sub> interface and the fast interfacial charge transfer. Since the NFE-like states generally exist in different carbon nanostructures like fullerenes, graphene and carbon nanotubes, Guo et al.<sup>111</sup> proposed that such a conclusion can be extended to different interfaces constructed by carbon nanostructures.





**FIGURE 6** (a) The time evolutions of the energy states of  $C_{60}/MoS_2$  in NAMD simulations. The color strip indicates the state distribution on  $C_{60}$  and  $MoS_2$ . The  $s$ -SAMO state is marked using thicker line. (b) Time-dependent electron transfer from  $s$ -SAMO of  $C_{60}$  to  $MoS_2$  and the NA/AD contribution. (c) Snapshots of the orbital distribution in the NAMD simulation at 0, 100, 200 and 300 fs. (Reprinted with permission from Reference 111. Copyright 2018 American Chemical Society)

## 6 | ULTRAFAST CHARGE TRANSFER AT VAN DER WAALS HETEROSTRUCTURE INTERFACE

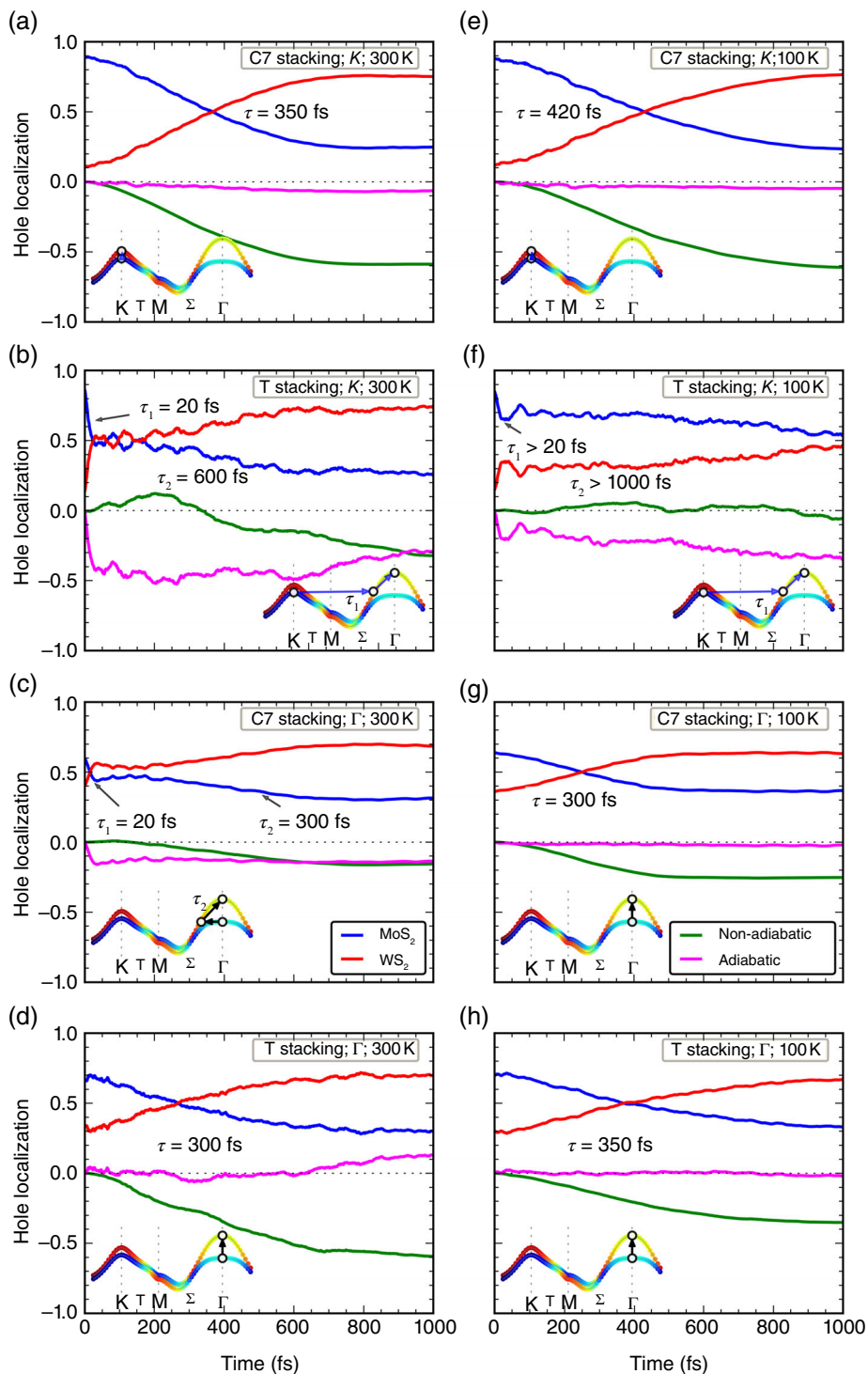
The vdW heterostructures formed by 2D materials have important applications in optoelectronics and solar energy conversion. The effective charge separation is a key factor for the solar energy conversion efficiency. Because of the weak interaction at the vdW heterostructure interface, fast charge transfer was not expected. However, contrary to the common expectation, recent experimental works observed the ultrafast charge transfer dynamics occurring at the interface of vertically stacked transition-metal dichalcogenide (TMD) heterostructure bilayers with type-II band alignment.<sup>3,13,125–127</sup> Therefore, it is urgent and essential to understand such ultrafast charge transfer dynamics at TMD interfaces. Zheng et al. used ab initio NAMD to investigate the photogenerated carrier dynamics at  $MoS_2/WS_2$  and  $MoSe_2/WSe_2$  interfaces.

### 6.1 | Phonon-assisted hole transfer at $MoS_2/WS_2$ interface

Zheng et al.<sup>64</sup> first investigated the photogenerated hole transfer dynamics at  $MoS_2/WS_2$  interface. The time-dependent hole population and averaged hole energy at the  $MoS_2/WS_2$  interface are shown in Figures 7 and 8, respectively. By comparing Figures 7 and 8, the hole dynamics pathway in momentum space can be determined. Different stacking effects were considered in Reference 64, where two of the most stable stacking structures C7 and T are discussed. Usually the hole is initially generated at  $K$  point in  $MoS_2$  ( $MoS_2@K$ ). It is very interesting to see from Figure 7b that for T stacking the hole dynamics occur on both fast and slow time scales. The fast component corresponds to an interlayer hole transfer from  $MoS_2@K$  to  $WS_2@\Sigma$  within 20 fs, whereby the hole distribution on  $WS_2$  increases from 10% to 55%. After this primary transfer is completed, there is a slow component which involves mainly an intralayer hole relaxation from  $WS_2@\Sigma$  to  $WS_2@K$  within 600 fs and the hole localization converges to 70%. In contrast, the ultrafast interlayer hole transfer is not found for C7 stacking. In this case, the hole transfer directly from  $MoS_2@K$  to  $WS_2@K$ , as shown in Figure 7a.

If the electron phonon scattering is considered, the hole transfer can also happen at  $\Gamma$  point. Interestingly, in this case, the ultrafast interlayer hole transfer is found in C7 stacking. There are also two components in this hole transfer process. First, there is an ultrafast interlayer hole transfer from  $MoS_2@\Gamma$  to  $WS_2@\Sigma$  with the hole distribution on  $WS_2$  increasing from 40% to 60% within 20 fs. Then a slow intralayer hole relaxation within 300 fs follows, whereby the hole distribution on  $WS_2$  converges to 70%. One can see that for both C7 and T stacking, the ultrafast interlayer hole transfer at 20 fs can be observed.

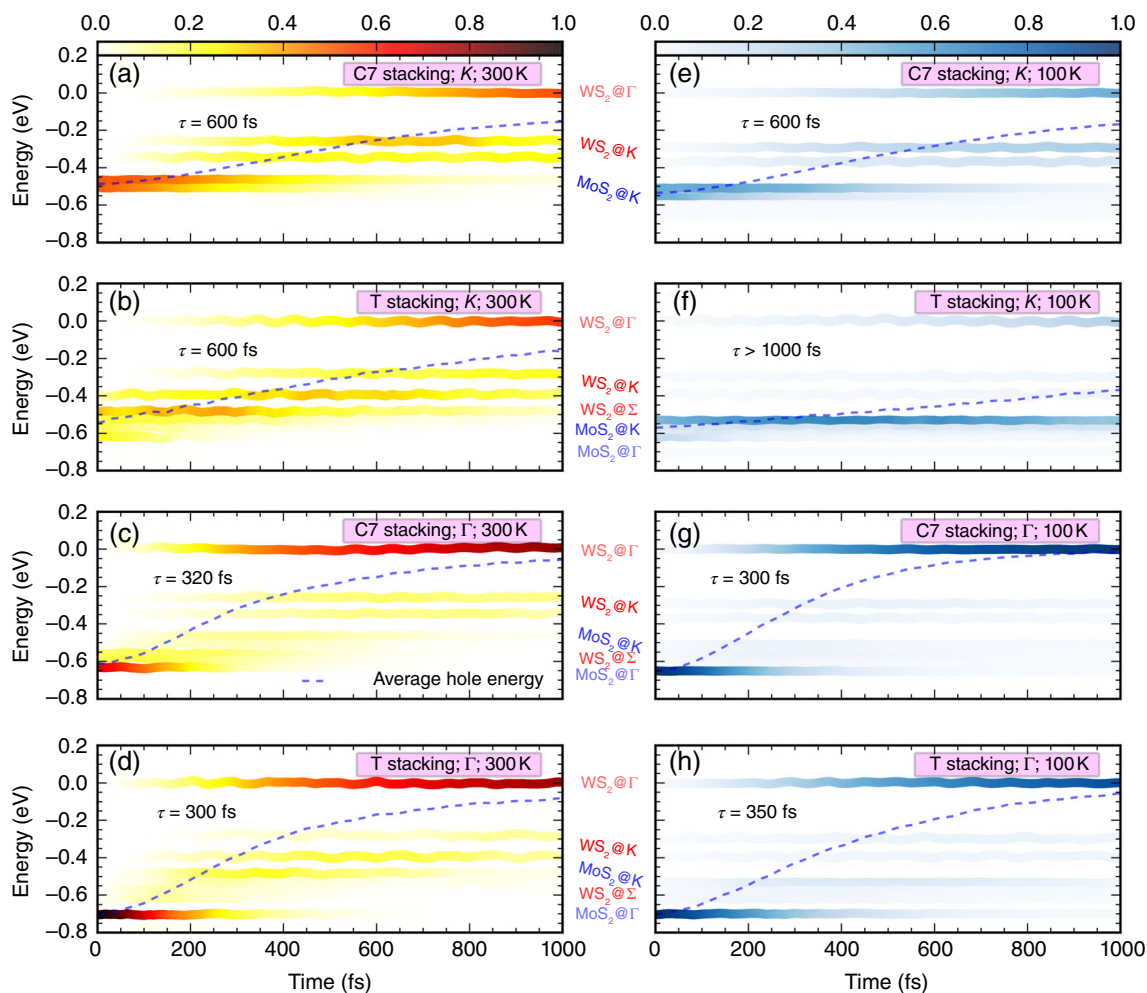
Analysis shows that for both C7 and T stacking the ultrafast interlayer hole transfer process is dominated by the AD charge transfer mechanism. This is due to the many crossings between the donor and the acceptor states along the MD



**FIGURE 7** Time-dependent spatial hole localization at the  $K$  and  $\Gamma$  points for the C7 and T stackings at 300 K ( $K$  point (a, b),  $\Gamma$  point (c, d)) and 100 K [ $K$  point (e, f),  $\Gamma$  point (g, h)]. The major hole relaxation routes in momentum space are schematically shown in the insets. The AD and NA contributions to the hole dynamics are shown, where their sum indicates the decrease of hole localization within the  $\text{MoS}_2$  layer. (Reprinted with permission from Reference 64. Copyright 2017 American Chemical Society)

trajectory. By contrast, the slow interlayer hole transfer processes (C7 stacking at  $K$  point and T stacking at  $\Gamma$  point as shown in Figure 7a,d) are almost completely promoted by the NA charge transfer mechanism.

Ultrafast interlayer hole transfer provoking by the AD mechanism suggests that this process is significantly sensitive to phonon excitation. This point was verified by temperature dependent simulations. As shown in panels a–d in Figure 9, the NAMD simulation at 100 K shows that, by decreasing the temperature, the phonon occupation and the energy oscillation amplitude will be reduced. When the nuclear motion is decreased, there are almost no crossings between the donor and acceptor states and the AD charge transfer will be suppressed as shown in Figure 7e,f. By contrast, the NA charge transfer does not



**FIGURE 8** Time-dependent hole energy change at the  $K$  and  $\Gamma$  points for the C7 and T stacking at 300 K [ $K$  point (a, b),  $\Gamma$  point (c, d)] and 100 K [ $K$  point (e, f),  $\Gamma$  point (g, h)]. The color strips indicate the hole distribution on different energy states and the dashed line represents the averaged hole energy. The energy reference is the average VBM energy. (Reprinted with permission from Reference 64. Copyright 2017 American Chemical Society)

change significantly. Furthermore, FT spectra have been used to determine which phonon modes are essential for the charge transfer. One can see from Figure 9e–h that the donor and acceptor states are coupled with the intralayer out-of-plane  $A_1$  optical phonon at  $\sim 400\text{ cm}^{-1}$ , a LA mode at  $\sim 200\text{ cm}^{-1}$ , and the optical out-of-plane interlayer breathing mode at  $\sim 35\text{ cm}^{-1}$ . The corresponding vibrational periods are 83, 166 and 953 fs, respectively. The timescale of the ultrafast interlayer charge transfer is 20 fs, which is approximately one quarter cycle of the  $A_1$  mode, suggesting that  $A_1$  mode is the essential phonon mode which promotes the ultrafast charge transfer. One should note, however, that the temperature-dependent results were not observed in the experimental measurements. Zheng et al.<sup>64</sup> proposed that this might be due to the lack of quantum nuclear effects in the simulation.

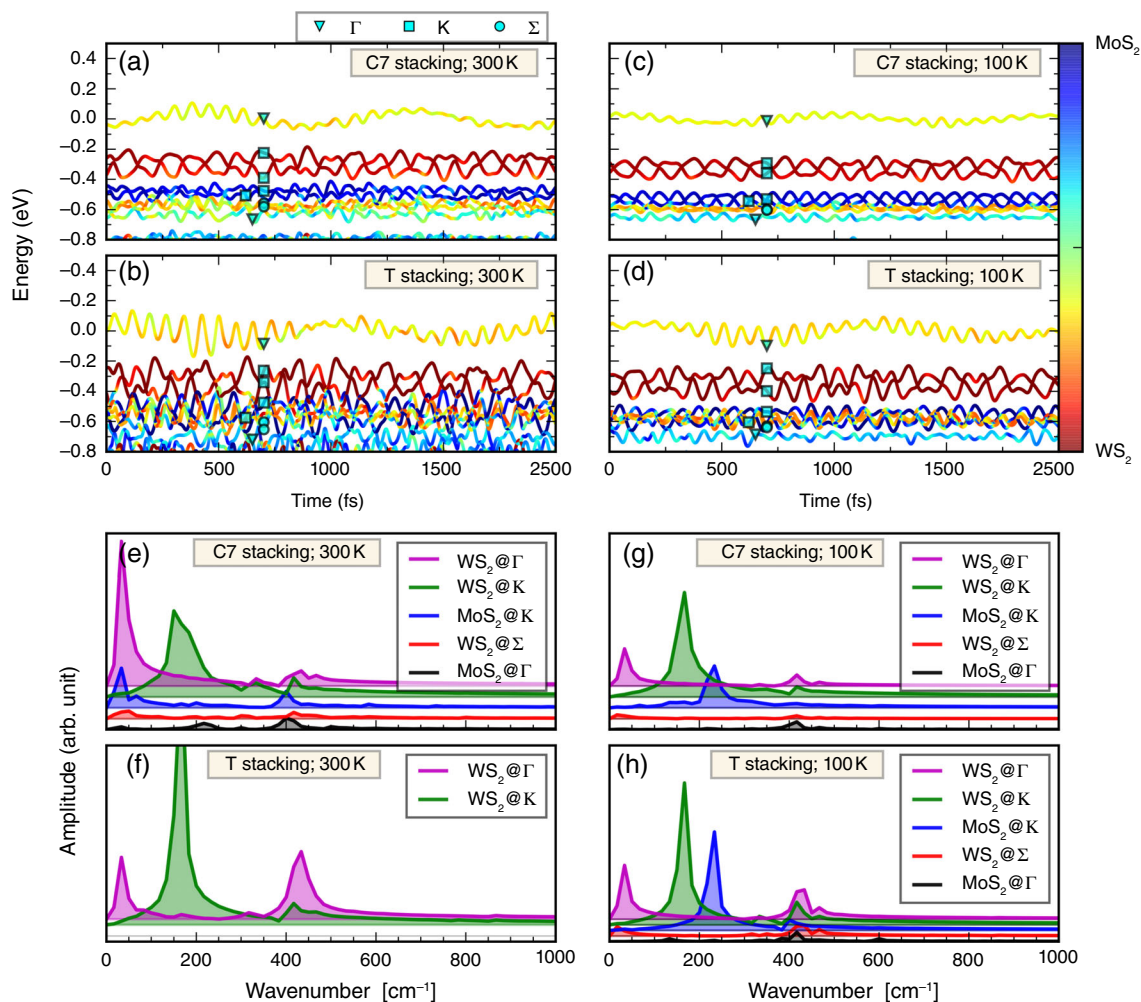
In order to estimate the nuclear quantum effects, quantum and classical kinetic energy of the phonon system were inspected, respectively. The quantum kinetic energy ( $K_Q$ ) is defined as

$$K_Q \approx \frac{\left(\frac{\hbar\bar{\omega}}{2} + \frac{\hbar\bar{\omega}}{\exp(\hbar\bar{\omega}/k_B T) - 1}\right)}{2} \quad (7)$$

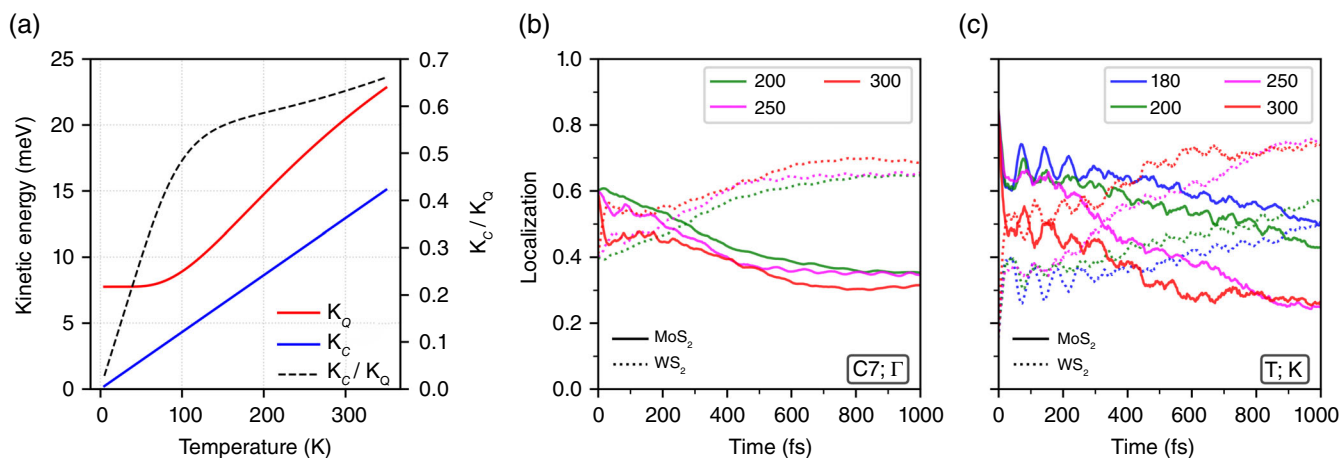
where  $\bar{\omega}$  is averaged phonon frequency, which is  $250\text{ cm}^{-1}$  for  $\text{MoS}_2/\text{WS}_2$ . In contrast, the classical kinetic energy ( $K_C$ ) can be estimated as:

$$K_C \approx \frac{k_B T}{2} \quad (8)$$

In Figure 10  $K_Q$  and  $K_C$  and their ratio  $K_C/K_Q$  are plotted out. One can see that the change of  $K_C/K_Q$  has a turning point between 100 and 150 K, suggesting that below 100 K the nuclear quantum effects increase distinctly. It can be seen that  $K_Q(T = 100\text{ K}) \approx K_C(T = 210\text{ K})$ , suggesting that to simulate an experimental measurement at 100 K, one needs to perform

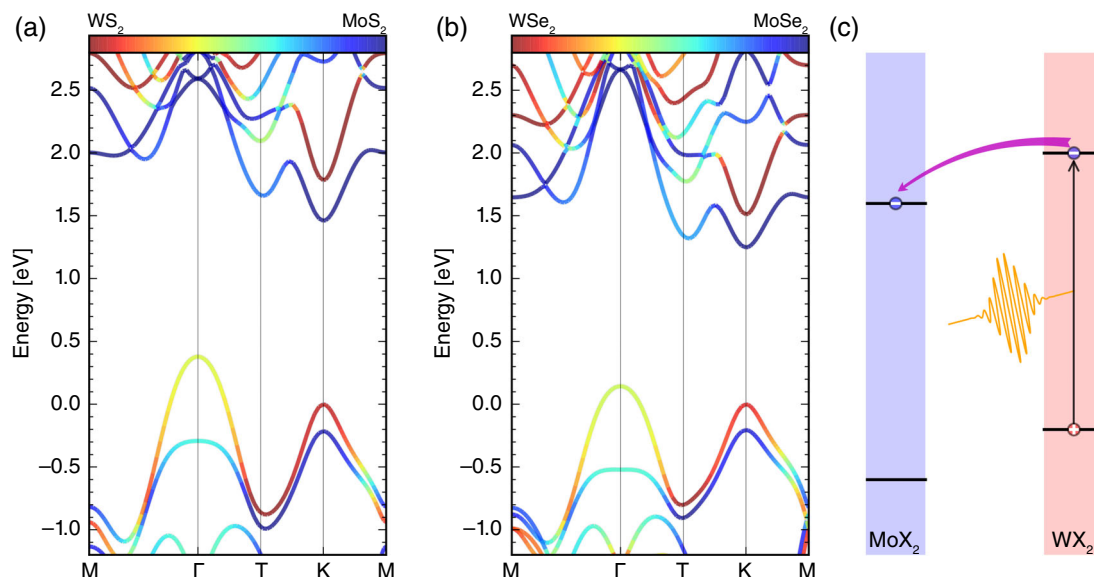


**FIGURE 9** Time evolutions of the energy states near VBM (a–d) and their FT spectra (e–h) for C7 and T stackings at 300 and 100 K. The energy reference in (a–d) is the average VBM energy and the color map shows the hole localization. The triangle, square and circle in (a–d) indicate the momentum of different energy states. (Reprinted with permission from Reference 64. Copyright 2017 American Chemical Society)



**FIGURE 10** (a) Temperature dependence of  $K_Q$  and  $K_C$ . The ratio  $K_C/K_Q$  is also plotted. (b, c) Time-dependent spatial hole localization at different temperatures (300, 250 and 200 K for C7 stacking at  $\Gamma$  point (b) and 300, 250, 200 and 180 K for T stacking at K point (c)) (Reprinted with permission from Reference 64. Copyright 2017 American Chemical Society)

a simulation at around 210 K. Further,  $K_Q(T = 0 \text{ K}) \approx K_C(T = 180 \text{ K})$ , implying the existence of zero-point energy. It suggests that a temperature of 180 K is still needed to simulate the measurement at 0 K. To make a better comparison with experimental results, more detailed temperature-dependent simulations for C7 and T stackings at 300, 250, 200 and 180 K were performed. The results are shown in Figure 10b,c. For C7 stacking, the phonon-assisted ultrafast charge transfer happens at



**FIGURE 11** Band structures and orbital spatial distributions of (a) MoS<sub>2</sub>/WS<sub>2</sub> and (b) MoSe<sub>2</sub>/WSe<sub>2</sub> heterostructures. The energy of WX<sub>2</sub>@K\_VB is set to zero. The color strip indicates the localization of the states. The photoexcitation and the initial electron distribution are indicated in (a, b). The schematic of electron transfer in TMD heterostructure is shown in (c). (Reprinted with permission from Reference 128. Copyright 2018 American Physical Society)

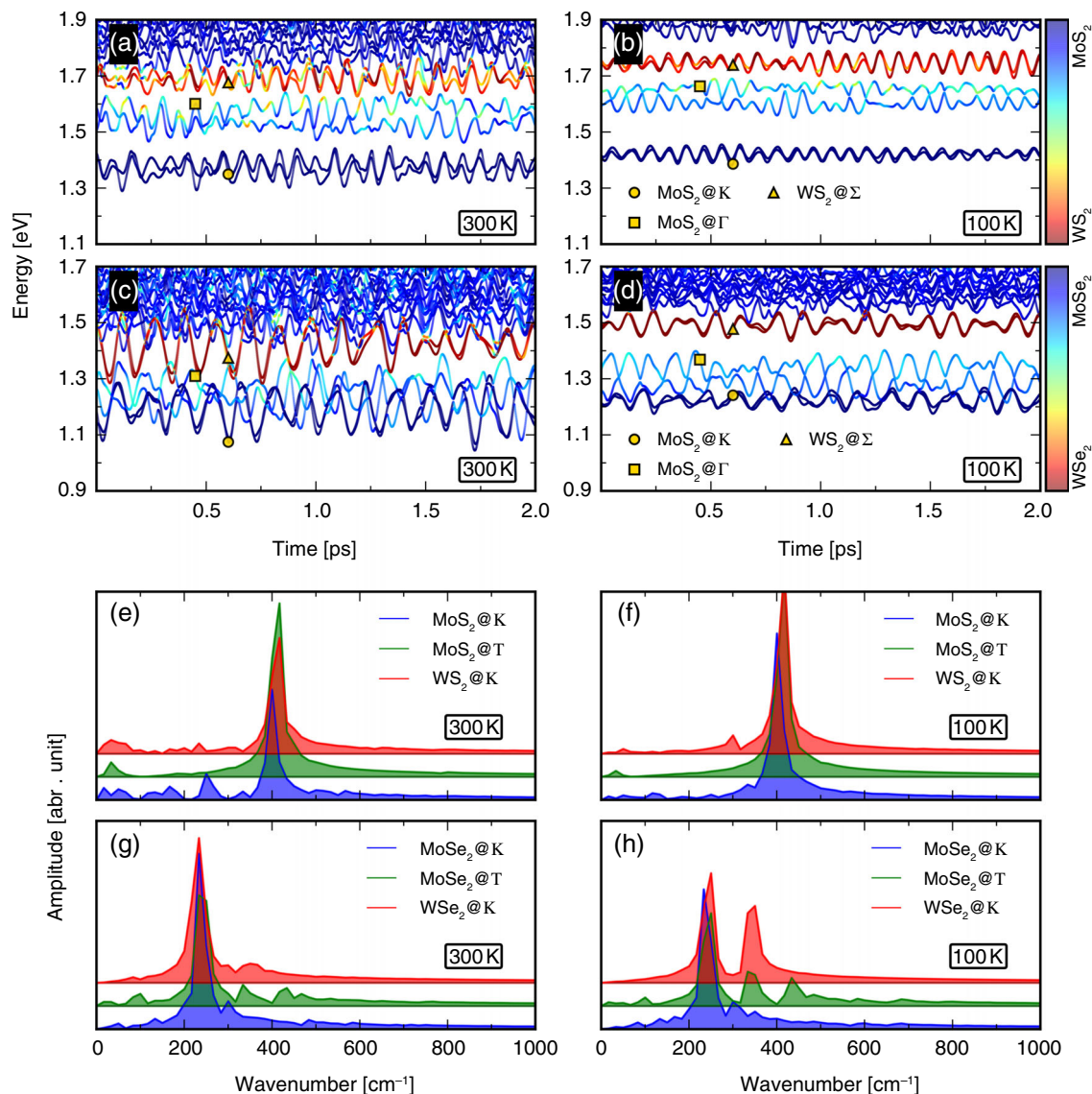
300 and 250 K, but disappear at 200 K, suggesting the critical temperature for ultrafast hole transfer is within 200–250 K range in the classical regime; the corresponding temperature falls in 90–135 K range if quantum nuclear effects are included. For T stacking, the ultrafast process still happens when the temperature is decreased to 180 K, implying that such an ultrafast process can be observed even near zero temperature.

## 6.2 | Phonon-coupled charge oscillation at MoSe<sub>2</sub>/WSe<sub>2</sub> interface

As has been discussed above, in the investigation of charge transfer dynamics at MoS<sub>2</sub>/WS<sub>2</sub> interface, Zheng et al.<sup>128</sup> proposed that the ultrafast charge transfer is provoked by the phonon excitation through e–p coupling. To further understand how the e–p coupling affect the interfacial charge transfer at TMD interface, Zheng et al. performed further studies on the photo-generated electron transfer at MoSe<sub>2</sub>/WSe<sub>2</sub> and compared the results with those of MoS<sub>2</sub>/WS<sub>2</sub>. The two TMD heterostructures have similar band structures as shown in Figure 11a,b. The photogenerated electron transfer process is illustrated in Figure 11c. The donor and acceptor states are WX<sub>2</sub>@K (X = S, Se) and MoX<sub>2</sub>@K, respectively. The MoX<sub>2</sub>@T state may also be involved in the charge transfer process. The orbital distribution of WX<sub>2</sub>@K, MoX<sub>2</sub>@T and MoX<sub>2</sub>@K are plotted in Figure 11a,b.

MoS<sub>2</sub>/WS<sub>2</sub> and MoSe<sub>2</sub>/WSe<sub>2</sub> were chosen as a group to compare because they have similar band structures yet distinct phonon frequencies and e–p couplings due to the different chalcogen elements. The difference of the phonon frequencies and e–p coupling for MoSe<sub>2</sub>/WSe<sub>2</sub> and MoS<sub>2</sub>/WS<sub>2</sub> can be understood from the e–p coupling induced oscillation of the KS energies at 300 and 100 K and their FT spectra shown in Figure 12a–d. First, because more phonons are thermally excited at 300 K than those at 100 K, the energy oscillation at 300 K is stronger for both MoS<sub>2</sub>/WS<sub>2</sub> and MoSe<sub>2</sub>/WSe<sub>2</sub>. Next, the energy oscillation amplitudes of MoSe<sub>2</sub>/WSe<sub>2</sub> are always larger than MoS<sub>2</sub>/WS<sub>2</sub> at the same temperature. The FT transform of the time-dependent eigen-energies of MoX<sub>2</sub>@K, MoX<sub>2</sub>@T and WX<sub>2</sub>@K are shown in Figure 12e–h. For MoS<sub>2</sub>/WS<sub>2</sub>, the major peak appears at around 400 cm<sup>-1</sup> whereby it redshifts to 230 cm<sup>-1</sup> in MoSe<sub>2</sub>/WSe<sub>2</sub>. The major peak in both systems can be assigned to be the A<sub>1</sub> mode by comparing with the Raman scattering measurements and first-principles calculations.<sup>3,129–132</sup> The redshift of A<sub>1</sub> mode in MoSe<sub>2</sub>/WSe<sub>2</sub> is due to the different chalcogen masses and chemical interactions. At the same temperature, because A<sub>1</sub> mode in MoSe<sub>2</sub>/WSe<sub>2</sub> is much softer than that in MoS<sub>2</sub>/WS<sub>2</sub>, more phonons will be excited in MoSe<sub>2</sub>/WSe<sub>2</sub>, resulting in the stronger energy oscillations.

The electron transfer processes at the interfaces of MoSe<sub>2</sub>/WSe<sub>2</sub> and MoS<sub>2</sub>/WS<sub>2</sub> were studied using NAMD simulations. The results are summarized in Figure 13. The time-dependent charge localization and averaged electron energies for MoSe<sub>2</sub>/WSe<sub>2</sub> system at 300 K are shown in Figure 13a–c. At 300 K, charge localization on WSe<sub>2</sub> decreases from 90% to 10% with the energy of excited electron relaxing to CBM within 1,000 fs. The interesting point is that during the initial 400 fs, the inter-layer charge oscillation occurs with the same period of the A<sub>1</sub> phonon mode. In the momentum space, this corresponds to an intervalley charge transfer from WSe<sub>2</sub>@K to MoSe<sub>2</sub>@T. It is followed by an intervalley charge relaxation from MoSe<sub>2</sub>@T to MoSe<sub>2</sub>@K. It was proposed that the A<sub>1</sub> phonon mode plays an important role in these intervalley electron transfer and

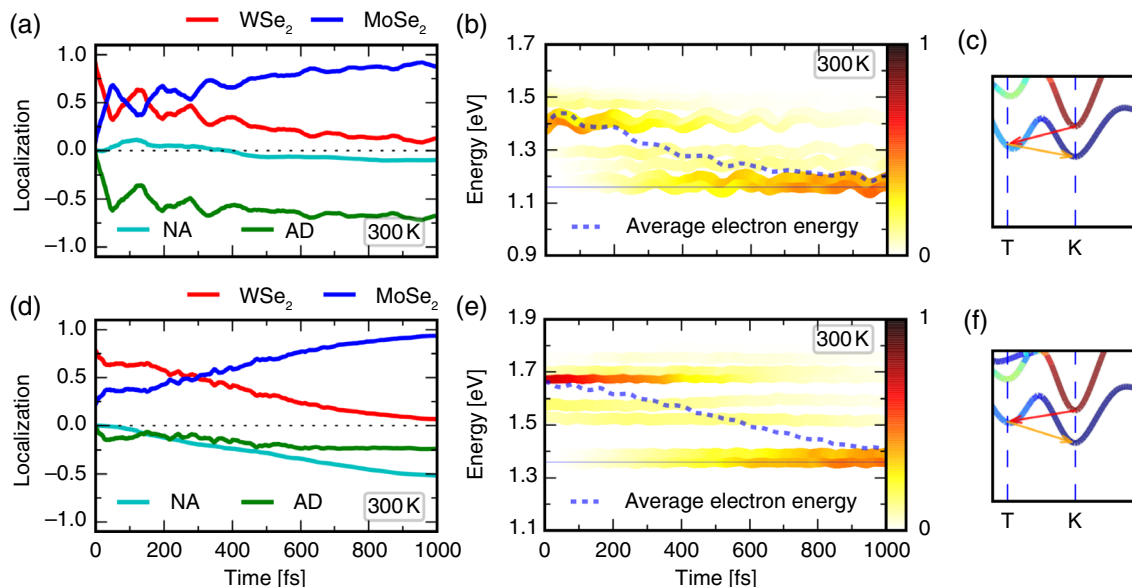


**FIGURE 12** Time evolutions of the energy states near CBM (a–d) and FT spectra of the selected states (e–h) of MoX<sub>2</sub>/WX<sub>2</sub> (X = S, Se) heterostructures at 300 and 100 K. The energy reference in (a–d) is the averaged energy of WX<sub>2</sub>@K<sub>VB</sub>, and the color map shows the orbital localization. (Reprinted with permission from Reference 128. Copyright 2018 American Physical Society)

relaxation.<sup>128</sup> By contrast, the excited electron transfer dynamics at MoS<sub>2</sub>/WS<sub>2</sub> interface show distinct properties. As can be seen from Figure 13d, in 1 ps the electron localization on WS<sub>2</sub> decreases from 75% to nearly 10% at 300 K. During this process there is no charge oscillation. Further analysis shows that although the electron transfer pathway is still from WS<sub>2</sub>@K to MoS<sub>2</sub>@T and finally to MoS<sub>2</sub>@K, the NA charge transfer mechanism is the major component, indicating that the electron tends to tunnel between the donor and acceptor states instead of oscillate coherently with the phonons.

The significantly different charge transfer dynamics behaviors between MoS<sub>2</sub>/WS<sub>2</sub> and MoSe<sub>2</sub>/WSe<sub>2</sub> can be interpreted from two insights. First, the A<sub>1</sub> mode in MoSe<sub>2</sub>/WSe<sub>2</sub> has a relatively low frequency (230 cm<sup>-1</sup>). Therefore, there can be sufficient phonon excitation at 300 K, leading to the phonon-coupled charge oscillation. On the contrary, in MoS<sub>2</sub>/WS<sub>2</sub> the frequency of A<sub>1</sub> mode is as high as 400 cm<sup>-1</sup>, which makes the phonon excitation at 300 K to be more difficult and thus the phonon-coupled charge transfer is suppressed. Second, for MoS<sub>2</sub>/WS<sub>2</sub> system, the NAC elements between the donor (WS<sub>2</sub>@K) and acceptor (MoS<sub>2</sub>@T) are relatively large due to the smaller energy difference comparing with MoSe<sub>2</sub>/WSe<sub>2</sub>. Owing to the weak phonon excitation and large NAC elements, in MoS<sub>2</sub>/WS<sub>2</sub> the charge transfer is dominated by the NA mechanism, whereby the direct charge tunneling is in the leading role. By contrast, in MoSe<sub>2</sub>/WSe<sub>2</sub>, the sufficient phonon excitation and small NAC elements induce the coherent charge oscillation with A<sub>1</sub> phonons.

The ab initio NAMD investigations by Zheng et al. indicate that the excitation of the intralayer A<sub>1</sub> phonon mode is very important to understand the interlayer charge transfer dynamics in TMD heterojunctions measured by experiments. In MoS<sub>2</sub>/WS<sub>2</sub>, it was proposed that the ultrafast charge transfer is provoked by the A<sub>1</sub> mode excitation. Furthermore, in MoSe<sub>2</sub>/WSe<sub>2</sub>,



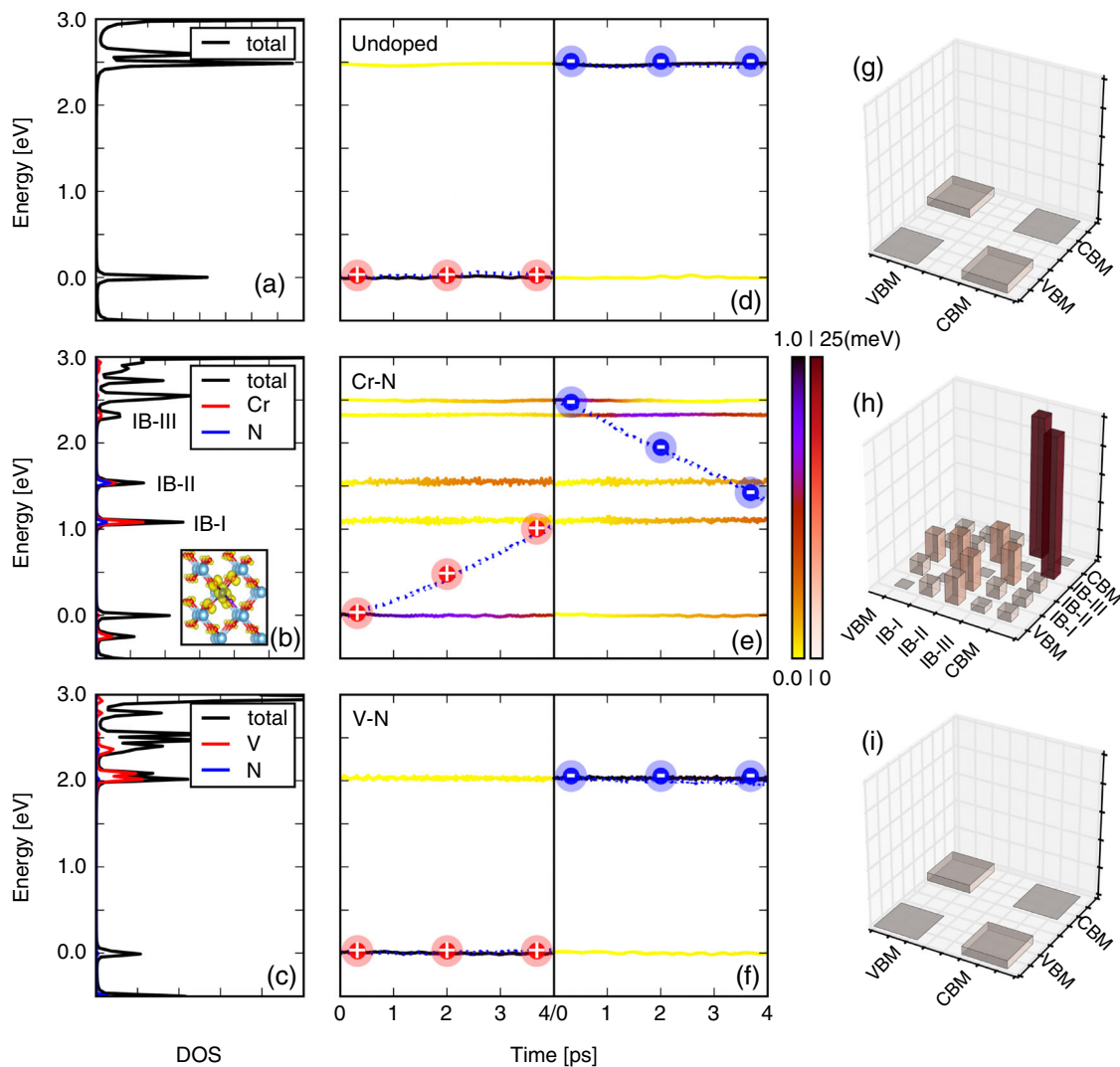
**FIGURE 13** Nonadiabatic molecular dynamics results of MoSe<sub>2</sub>/WSe<sub>2</sub> and MoS<sub>2</sub>/WS<sub>2</sub> at 300 K, respectively. (a, d) Time-dependent electron spatial localization. (b, e) Time-dependent electron energy change. (c, f) Schematics of the electron relaxation route in the momentum space. (Reprinted with permission from Reference 128. Copyright 2018 American Physical Society)

where the  $A_1$  phonon mode can be more sufficiently excited, Zheng et al. proposed a phonon-coupled electron oscillation can be achieved. The results that phonon excitation plays crucial role for charge transfer based on MoS<sub>2</sub>/WS<sub>2</sub> and MoSe<sub>2</sub>/WSe<sub>2</sub> can be extended to other TMD heterostructures. The phonon excitation and e–p coupling can be tuned by different experimental techniques or environments. For example, changing the substrate or applying external stress can change the phonon frequencies and e–p coupling strength. Furthermore, recent phonon pumping techniques using infrared light make it possible to excite selected resonant lattice phonons. Therefore, the phonon couple charge transfer is possible to be controlled, which may have important applications in the design of 2D optoelectronic devices and solar energy conversion systems.

It should also be noticed that the charge transfer dynamics at TMD interface have also been investigated by other theoretical works. Wang et al. used Erhenfest NAMD based on TDDFT (TDAP code) to investigate the hole transfer process in MoS<sub>2</sub>.<sup>133</sup> In their work they also found the  $A_1$  and LA modes play important role in the MD. They found the hole transfer from MoS<sub>2</sub> to WS<sub>2</sub> happen with a timescale of 100 fs, accompanying charge oscillation between the two layers. They attribute such charge oscillation is coupled with the dipole moment. Ji et al. studied the same process using the same Erhenfest NAMD method collaborating with time-resolved optical pump-probe spectroscopy.<sup>125</sup> For different layer stackings, the hole transfer timescale varies from 180 to 1,500 fs, providing different charge transfer channels. They proposed that in the experiments the hole transfer occurs through the fast channel. Here one can see that the NAMD simulations based on Erhenfest and surface hopping schemes give different timescales (varying from 20 to 1,500 fs) for hole transfer at MoS<sub>2</sub>/WS<sub>2</sub> interface. In Erhenfest simulations the charge oscillations are proposed,<sup>125,133</sup> while in surface hopping simulation there are a fast and a slow component contributed by the AD and NA mechanisms.<sup>64</sup> The surface hopping NAMD results do not depend on the stacking of TMD layers,<sup>64</sup> which in agreement with the experimental results.<sup>3</sup> However, the temperature dependence proposed by surface hopping NAMD is not observed in the experiments. In addition, the exciton binding plays an important role in 2D TMD systems and it is not included in the current surface hopping NAMD simulations. Yet in the Erhenfest NAMD simulation it is included in a mean-field manner. In the future, we propose to develop new aspects in Hefei-NAMD to include the exciton binding and quantum nuclear effects and will revisit the topic of charge transfer dynamics at 2D TMD interface.

## 7 | ELECTRON–HOLE RECOMBINATION IN DOPED SEMICONDUCTOR

Besides the charge transfer dynamics at different interfaces, ab initio NAMD simulations can also be used to investigate the photogenerated e–h recombination dynamics. Zhang et al. chose a wide band gap semiconductor TiO<sub>2</sub>, which is one of the most intensively studied photocatalyst, as a prototypical system to study how the cation and anion doping affect the e–h recombination rate.<sup>134</sup> Different cation doping in wide band gap semiconductors is proposed to be an effective route to reduce the band gap, improve the photon energy absorption and enhance the solar energy conversion efficiency.<sup>135–139</sup> However, it may also introduce e–h recombination centers which will affect the lifetimes of the photogenerated electrons and holes. Based



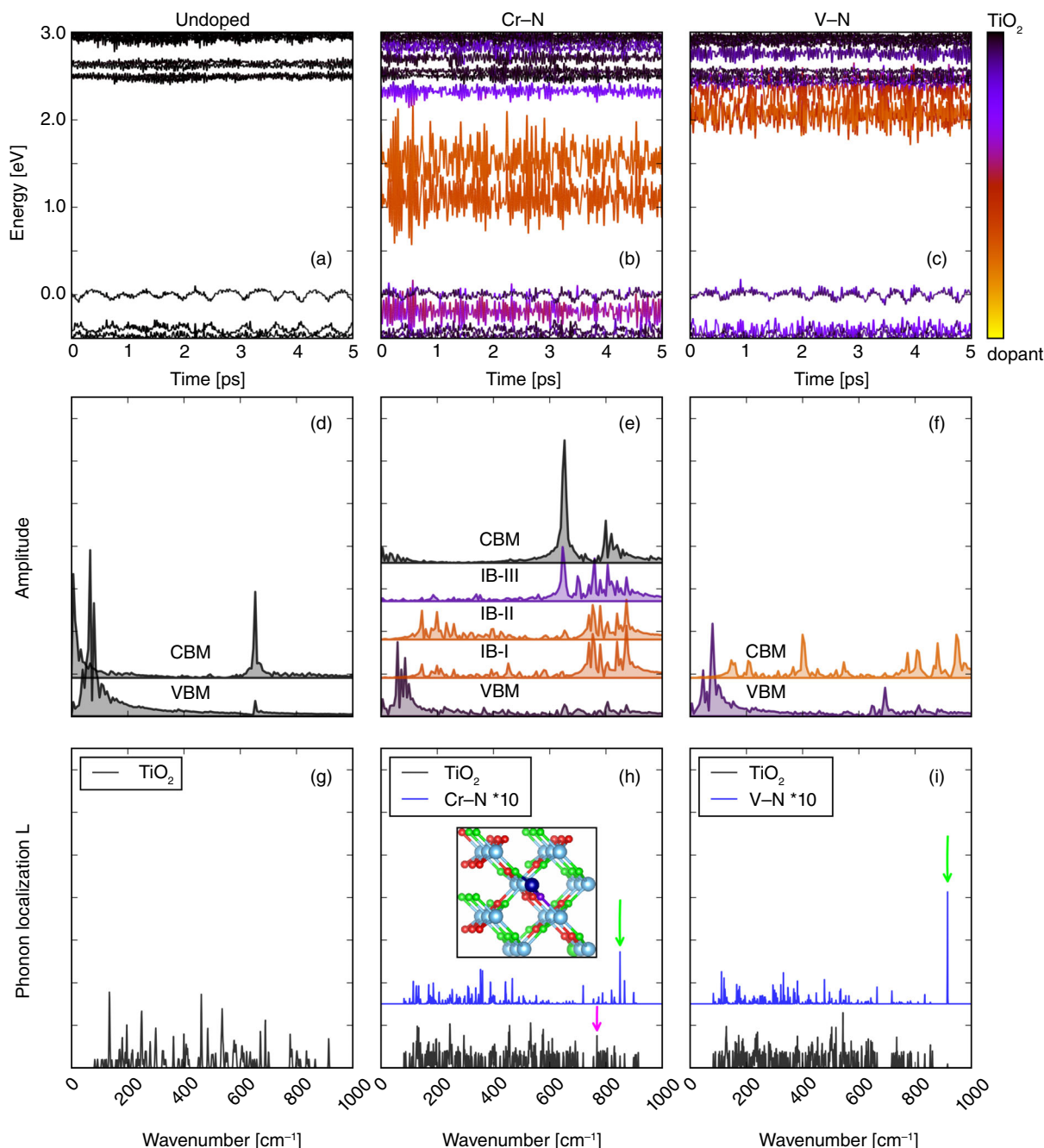
**FIGURE 14** The electronic structures and the time-dependent electron–hole (e–h) dynamics in undoped, Cr–N- and V–N-doped  $\text{TiO}_2$ . (a–c) The total and partial density of states. (d–f) The averaged time-dependent e–h energy relaxation at 300 K. The color strip indicates the e–h distribution on different energy states and the dashed line represents the averaged e–h energy. The energy reference is the average VBM energy. (g–i) The averaged NAC elements in undoped, Cr–N- and V–N-doped  $\text{TiO}_2$  at 300 K. The inset in (b) shows the spatial distribution of the excess charge induced by Cr–N codoping, in which the Ti, O, Cr and N atoms are marked by large light blue, small red, large deep blue and small purple balls, respectively. (Reprinted with permission from Reference 134. Copyright 2018 American Chemical Society)

on NAMD simulations, Zhang et al. proposed that the localization of impurity-phonon modes (IPMs) is the key factor for the e–h recombination rate.<sup>134</sup>

Among these doping schemes in  $\text{TiO}_2$ , the *n–p* codoping by both anions and cations was proposed to have better thermodynamic stability compared with monodoping.<sup>138,139</sup> Noncompensated Cr–N and compensated V–N codoping were chosen for contrast since V and Cr are neighbors in the periodic table of elements. As shown in Figure 14a–c, Cr–N doping introduces three intermediate bands (IBs). Among them, there are two bands (labeled as IB-I and IB-II) contributed by the Cr–N impurities in the middle of band gap and one hybridized band (IB-III) contributed by both Cr–N and  $\text{TiO}_2$  close to CBM. In contrast, the compensated doping V–N does not introduce IBs in the band gap of  $\text{TiO}_2$ . Instead, it only narrows the band gap by downward and upward shifting of CBM and VBM. As shown in Figure 14c, VBM of V–N-doped  $\text{TiO}_2$  is a hybridized state contributed by O and N  $2p$  orbitals, and CBM is mostly contributed by the doping V  $3d$  orbital.

The CBM and VBM of Cr–N- and V–N-doped  $\text{TiO}_2$  were chosen as the initial states for the electron and hole relaxation. The undoped  $\text{TiO}_2$  was also investigated as a contrast. Figure 14d–f shows the time-dependent population and averaged energies of excited electron and hole in these three systems. The e–h recombination behavior in V–N-doped  $\text{TiO}_2$  is similar with that in undoped  $\text{TiO}_2$ , while it is significantly different in Cr–N-doped  $\text{TiO}_2$ . For V–N-doped and undoped  $\text{TiO}_2$ , the e–h recombination events can be hardly seen within 4 ps, suggesting that the e–h recombination timescale is much longer than the ps magnitude. In this case the NAMD simulation in several ps can barely obtain the accurate e–h recombination timescale. It can be estimated to be around 0.5 ns by fitting the energy decay curve in the first 4 ps using an exponential decay function.<sup>134</sup>





**FIGURE 15** Time evolutions of the state energies near VBM and CBM for undoped, Cr-N- and V-N-codoped TiO<sub>2</sub> (a–c) and their FT spectra (d–f) at 300 K. The spatial localization of phonon modes (g–i). The inset in (h) shows by green color the atoms that vibrate coherently with Cr–N. In (h) and (i) the green arrows indicate the major IPMs in Cr–N- and V–N-doped TiO<sub>2</sub>. A typical TiO<sub>2</sub> bulk phonon mode in Cr–N-doped TiO<sub>2</sub> is marked by a purple arrow. (Reprinted with permission from Reference 134. Copyright 2018 American Chemical Society)

Such a recombination timescale in nanosecond magnitude is in agreement with previous studies qualitatively.<sup>140–145</sup> By contrast, in Cr–N-codoped TiO<sub>2</sub>, e–h recombination occurs within 4–5 ps with IB-I and IB-II behaving as e–h recombination centers for trapping the photogenerated electrons and holes.

Here in the dynamics of photogenerated e–h from CBM or VBM, there is no energy level crossing as shown in Figure 15b,c. Therefore, the e–h recombination is induced by the NA mechanism, and the dynamics simply depends on the NAC elements, which is shown in Figure 14g–i. The largest NAC in Cr–N-doped TiO<sub>2</sub> is 25 meV, which is 16 times larger than that in undoped and V–N-doped TiO<sub>2</sub> (around 1.5 meV). As we have discussed above, the NAC elements depend on the inverse of energy difference of the interacting states, the e–p coupling term and the nuclear velocity. In Cr–N-doped TiO<sub>2</sub>, the energy difference between IB-I/IB-II and CBM/VBM is around 1 eV, which is approximately half of the energy difference between CBM and VBM in V–N-codoped TiO<sub>2</sub>. This is one reason for the increasing of the NAC elements in Cr–N-codoped TiO<sub>2</sub>. The other two factors, that is, the e–p coupling and nuclear velocity, depend on the phonon excitation and properties.

It is known that doping impurities in semiconductors will induce IPMs, which mainly couples with the impurity bands and may scatter and couple with bulk phonon modes.<sup>146–148</sup> These modes can be determined by calculating the phonon spatial localization (labeled as  $L$ ).<sup>146</sup> The localization of the phonon mode  $s$  on the (group of) atom(s)  $\alpha$  is  $L_{\alpha}^s = \sum_{J=x,y,z}^{I \in \alpha} (e_{IJ}^s)^2$ , where  $e_{IJ}^s$  is the eigenvector of the dynamical matrix corresponds to the phonon mode  $s$ . In V–N-codoped TiO<sub>2</sub>, one can easily distinguish a major IPM at 920 cm<sup>-1</sup> (Figure 15i), which has negligible coupling with TiO<sub>2</sub> bulk modes. It is the stretching mode between V and N atoms. However, in Cr–N-codoped TiO<sub>2</sub>, as shown in Figure 15h, the coupling between the IPM with the TiO<sub>2</sub> bulk modes is much stronger. The most localized IPM at 820 cm<sup>-1</sup> is marked by the green arrow in Figure 15h. As indicated by green colored atoms in the inset in Figure 15h, even for this major IPM, there are about half of the TiO<sub>2</sub> atoms in the supercell vibrate coherently with the Cr–N impurity. Thus the IPM in Cr–N-codoped TiO<sub>2</sub> can be thought to have a delocalized character. Zhang et al.<sup>134</sup> proposed that such delocalized character originates from the excess charge induced by Cr–N codoping.

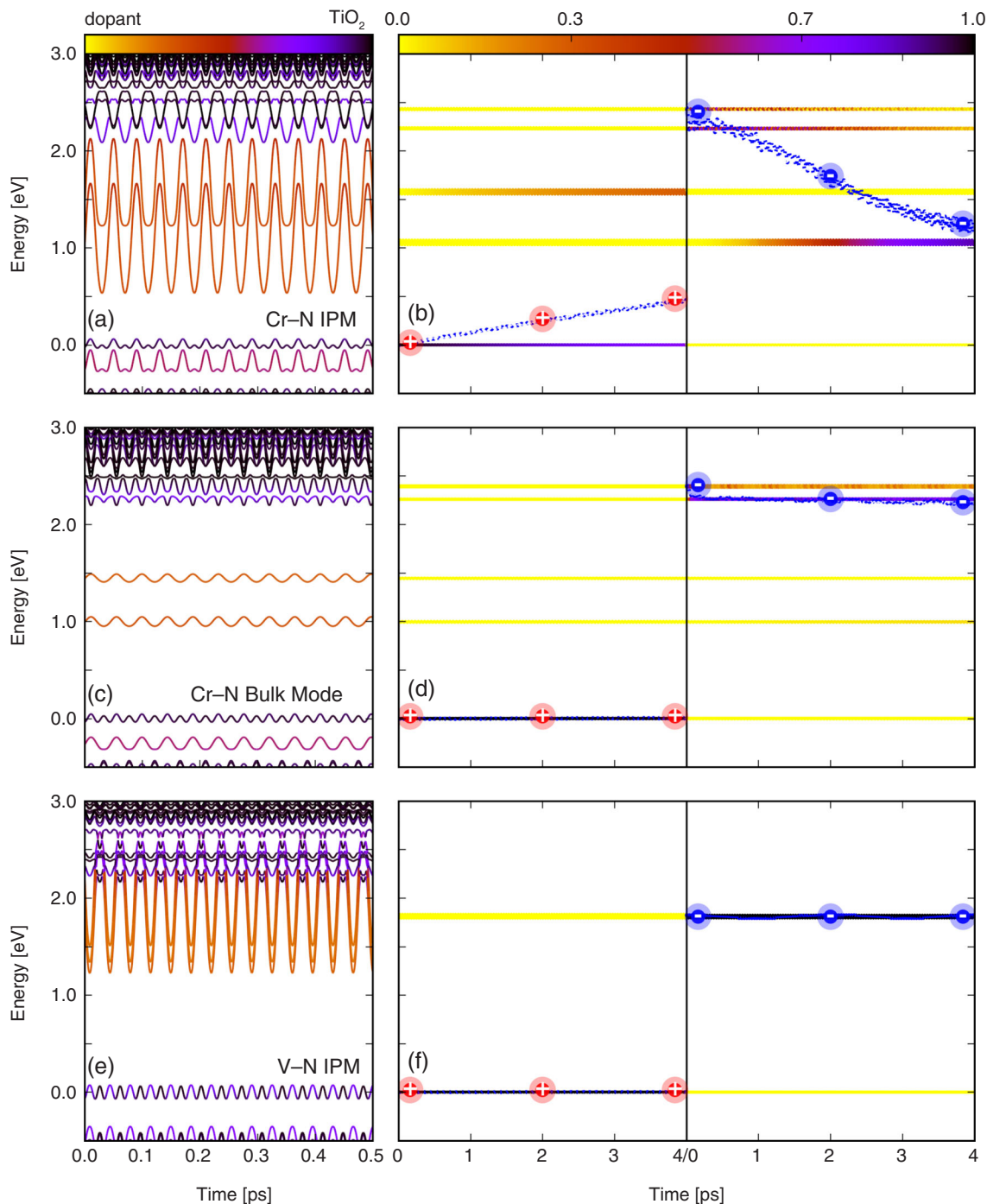
From the analysis of e–p coupling and the frozen phonon investigations Zhang et al. proposed that the delocalized IPMs in Cr–N-doped TiO<sub>2</sub> induces the fast e–h recombination. First, the e–p coupling of the IBs in Cr–N-doped TiO<sub>2</sub> is stronger than the V 3d contributed CBM and N/O 2p contributed VBM in V–N-codoped TiO<sub>2</sub>, which can be seen from the energy fluctuation amplitude as shown in Figure 15b,c. Second, because of the delocalized character of IPM in Cr–N-codoped TiO<sub>2</sub>, besides the impurity contributed IBs, the CBM and VBM of Cr–N-codoped TiO<sub>2</sub> are coupled with IPMs as well, which can be seen from the FT spectra in Figure 15e. Zhang et al. proposed that such a coherent e–p coupling between the interacting states increase the NAC elements. In contrast, in V–N-doped TiO<sub>2</sub>, only the V 3d contributed CBM has strong coupling with the localized IPM (Figure 15f).

Finally, the crucial role of the delocalized IPMs in the e–h recombination in Cr–N-codoped TiO<sub>2</sub> was confirmed by the frozen phonon NAMD investigations, in which the specific modes can be selected and the excited carrier dynamics coupled with these modes can be studied.<sup>134</sup> As shown in Figure 16a,b, when the IPMs or Cr–N-codoped TiO<sub>2</sub> are excited, the energies of the impurity induced bands (IB-I and IB-II) oscillate severely because of the strong e–p coupling. Along with such strong oscillation, the e–h recombination occurs at around 5 ps. By contrast, if a typical bulk phonon mode in Cr–N-codoped TiO<sub>2</sub> (marked by the pink arrow in Figure 15h) is excited, the e–h recombination is significantly suppressed as shown in Figure 16c,d and the timescale can be as long as nanosecond. In V–N-codoped TiO<sub>2</sub>, one can see from Figure 16e,f, even when the major IPM is excited, the e–h recombination is still very slow because this IPM is a localized IPM and it only couples with the V 3d contributed CBM.

Zhang et al.<sup>134</sup> proposed that the important role of the delocalized IPMs can be applied to different kinds of doping systems. They investigated other mono- and codoping TiO<sub>2</sub> systems to confirm this point. To quantitatively understand the correlation between the IPM localization and the e–h recombination timescale, a localization factor was defined as  $F_{\text{LOC}} = L_{\text{impurity}}/L_{\text{total}}$  to be a measure of the localization level of IPMs. Here  $L_{\text{total}}$  and  $L_{\text{impurity}}$  are the total spatial localization of the IPM and the projection onto the doping atoms, whereby a larger  $F_{\text{LOC}}$  suggests a more localized IPM. It is shown in Figure 17 that there is an exponential dependence between  $F_{\text{LOC}}$  and the e–h recombination time at 300 K, convincing that  $F_{\text{LOC}}$  is a key parameter determining the e–h recombination timescale. There are several factors that can affect the  $F_{\text{LOC}}$ . First, it depends on the compensation of the dopants significantly. Figure 17 shows that all the noncompensate codoping and mono-doping systems have small  $F_{\text{LOC}}$ , that is, delocalized IPMs. This is because the excess charge in the noncompensated doping systems induces coupling with TiO<sub>2</sub> atoms around the dopants and makes the IPMs to be delocalized. Second, the dopant mass is another important factor. If we compare Mo–C and V–N doping systems, which are both compensated doping, Mo–C has a lower  $F_{\text{LOC}}$ . This is because Mo is a much heavier element than C, therefore, the Mo–C stretching IPM is split into two modes. The higher one at 1,100 cm<sup>-1</sup>, which is mostly associated with C atom, is strongly localized. Yet the lower IPM at 100 cm<sup>-1</sup>, associated with Mo atom, has strong coupling with the TiO<sub>2</sub> acoustic bulk phonons, making this IPM to be delocalized. Therefore, the e–h recombination in Mo–C is faster than that in V–N and Nb–N. Zhang et al.<sup>134</sup> proposed that the compensated *n*–*p* codoping with light dopants in wide band gap semiconductors will have long e–h recombination time, which is the ideal doping scheme.

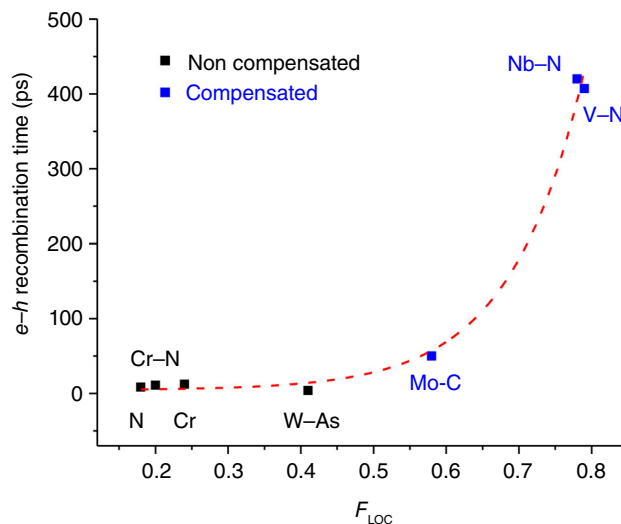
## 8 | DYNAMICS OF PHOTOGENERATED SPIN HOLE CURRENT IN CU-DOPED MOS<sub>2</sub>

The NAMD simulations can also be used to investigate the spin-polarized systems. It was proposed that fully spin-polarized hole current can be generated by photoexcitation of the impurity states in MoS<sub>2</sub> monolayer with S partially substituted by Cu and will relax to the ground state within its lifetime. Therefore, the lifetime of the photogenerated spin hole current determines the applications of such kind of materials in spintronics. Zhao et al.<sup>149</sup> used NAMD simulations to study the dynamics of spin-polarized hole in Cu-doped MoS<sub>2</sub> monolayer.



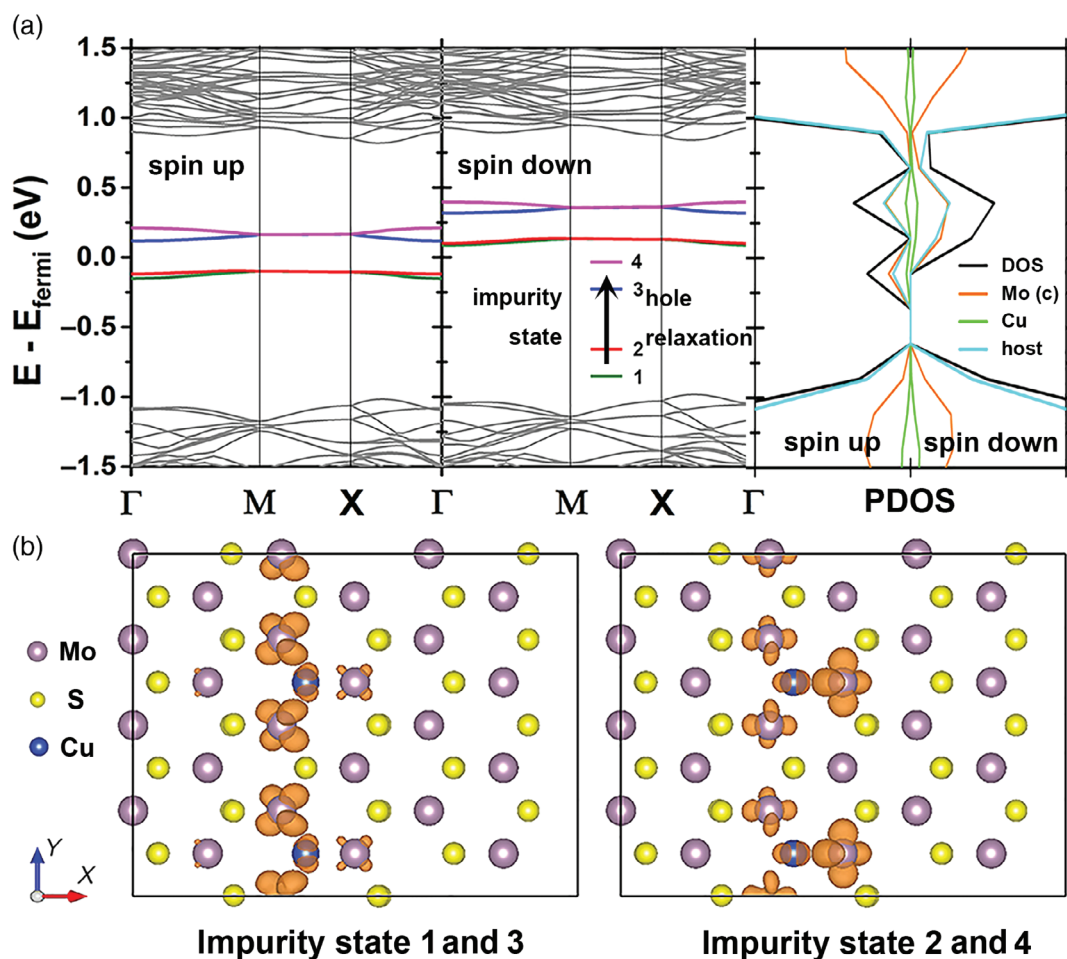
**FIGURE 16** Frozen phonon NAMD results for time evolutions of the energy states near VBM and CBM and the averaged time-dependent e-h energy relaxation for Cr-N- and V-N-codoped TiO<sub>2</sub>. (a, b) IPM for Cr-N-doped TiO<sub>2</sub>. (c, d) One bulk mode for Cr-N-doped TiO<sub>2</sub>. (e, f) IPM for V-N-doped TiO<sub>2</sub>. The energy reference is the average VBM energy and the color map indicates hole localization. (Reprinted with permission from Reference 134. Copyright 2018 American Chemical Society)

Figure 18 shows the spin-polarized band structure, DOS and orbital distribution of the impurity states for the system with 8.3% Cu doping. As proposed by Zhao et al.<sup>150</sup> in a previous theoretical work, one single Cu doping introduces a magnetic moment of  $1\mu_B$ , which is contributed by the unpaired  $4d$  electron of coordinated Mo atoms. A strong ferromagnetic (FM) order can be established by the super-exchange between the local spin of each atom and the Curie temperature was estimated to be around the room temperature. From the band structure shown in Figure 18a, one can see that the Cu substitution introduces four localized impurity states (labeled as State 1–4) and there is a spin-conserved band gap of 0.24 eV at the  $\Gamma$  point between the spin up VBM and CBM. The electrons in impurity State 1 and 2 contribute to the establishment of FM order. Fully spin-polarized hole current can be generated by photoexcitation.<sup>151</sup>

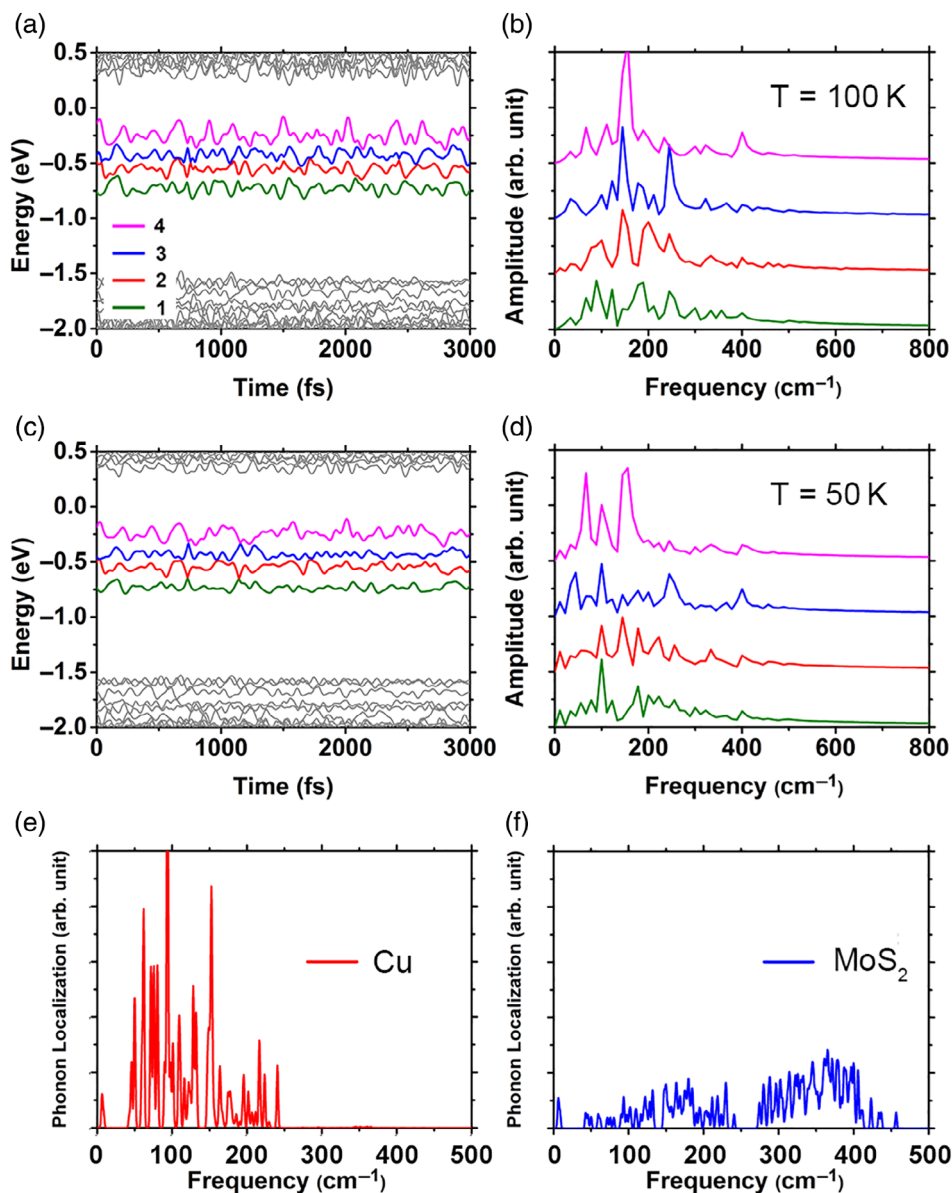


**FIGURE 17** The e-h recombination time in different doped  $\text{TiO}_2$ . The fitting exponential correlation is shown in dashed lines. (Reprinted with permission from Reference 134. Copyright 2018 American Chemical Society)

As indicated by the arrow in Figure 18a, the spin-polarized hole can be generated from State 1 or 2. And once the hole relaxes across the  $E_f$ , the spin-polarized hole current disappears. Figure 19a-d shows the time-dependent energy evolutions of the four impurity states and their FT spectra in 3 ps at 100 and 50 K. The impurity states have larger energy fluctuation



**FIGURE 18** The spin-polarized band structure and the projected density of states (PDOS) (a) and the orbital spatial distribution of the Cu-doped  $\text{MoS}_2$  (b). The total density of states (DOS) is projected on the coordinated Mo atoms, the Cu impurity atoms and the rest of the  $\text{MoS}_2$  host in the supercell. The isosurface value in (b) is set at  $0.002e/\text{Bohr}^3$ . The process of spin hole relaxation within the impurity states is indicated by the arrow in panel a. (Reprinted with permission from Reference 149. Copyright 2017 American Physical Society)



**FIGURE 19** Time-dependent evolution of the energy of the impurity states at  $\Gamma$  point (a, c) and the FT spectra to the autocorrelation function of the energy evolution (b, d) at 100 and 50 K, respectively. The color legend is the same as in Figure 18a. The spatial localization of each normal phonon mode projected on the Cu impurity and MoS<sub>2</sub> host (e, f), respectively. (Reprinted with permission from Reference 149. Copyright 2017 American Physical Society)

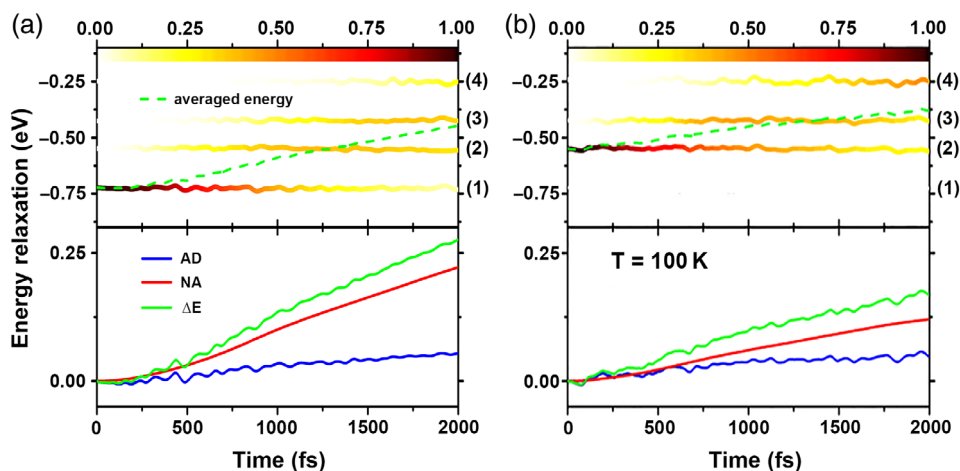
amplitudes than the bulk states due to the stronger e–p coupling. From the FT spectra the dominate phonon modes contribute to e–p coupling can be obtained as shown in Figure 19b,d. It can be seen that the impurity states mainly coupled to the acoustic phonons below 240 cm<sup>-1</sup>.<sup>152</sup> This point was further confirmed by calculating the phonon localization projected on Cu impurity shown in Figure 19e. Comparing Figure 19e,f one can find that the Cu IPMs couple with bulk modes in MoS<sub>2</sub> strongly. The scattering between the impurity and the bulk phonon modes within a range induces the violent energy fluctuation of the impurity states.

Similar to the AD and NA spatial charge transfer process, Zhao et al.<sup>149</sup> defined AD and NA processes for the energy relaxation. In the NAMD simulation, the averaged energy of excited electron or hole can be written as:

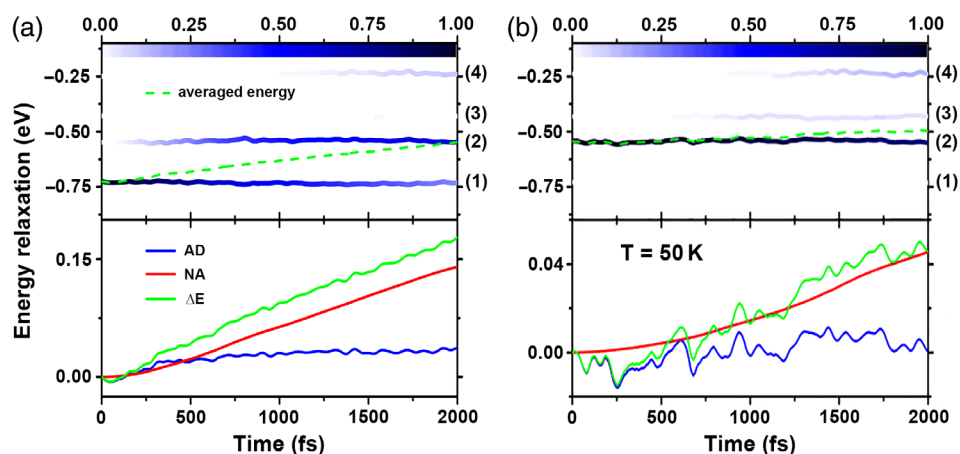
$$\bar{\epsilon} = \sum_k \rho_k \epsilon_k \quad (9)$$

Here,  $\rho_k$  is the population of the adiabatic state  $k$ , namely  $c_k^* c_k$ . Taking the time-derivative of Equation (9) one can get

$$\frac{d\bar{\epsilon}}{dt} = \sum_k \left( \frac{d\rho_k}{dt} \epsilon_k + \rho_k \frac{d\epsilon_k}{dt} \right) \quad (10)$$



**FIGURE 20** The dynamics of a photogenerated hole at 100 K. The averaged energy of the hole and the population on each impurity state (in the upper panel), the AD and NA contributions to the energy relaxation (in the lower panel) with the initial state specified at the impurity State 1 (a) and 2 (b), respectively. (Reprinted with permission from Reference 149. Copyright 2017 American Physical Society)



**FIGURE 21** The dynamics of a photogenerated hole at 50 K. The averaged energy of the hole and the population on each impurity state (in the upper panel), the AD and NA contributions to the energy relaxation (in the lower panel) with the initial state specified at the impurity State 1 (a) and 2 (b), respectively. (Reprinted with permission from Reference 149. Copyright 2017 American Physical Society)

For the first term, the change of the averaged energy is determined by the change of the occupation of each adiabatic state, and it was defined as the NA term. The second term is due to the energy change of each adiabatic state while the population on it is fixed, and it was defined as the AD contribution to the energy relaxation.

Figures 20 and 21 show the energy relaxation along with the NA and AD contributions of photogenerated spin hole current at 100 and 50 K. At both temperatures, the hole relaxation happens by a band-by-band process. The energy relaxation shows approximately a linear correlation with the time. The NA process of energy relaxation follows the adiabatic process which is in the scale of hundreds of femtoseconds. However, the lifetime of the photogenerated spin hole current depends on the temperature significantly. When the temperature is decreased from 100 to 50 K, the hole relaxation across the bands is strongly suppressed. This is because the decreasing of the phonon occupation will induce a phonon bottleneck. Zhao et al.<sup>149</sup> proposed that the initial hole generation and the phonon excitation are the two key factors for the lifetime of the photogenerated spin-polarized hole.

Although in this work only the photogenerated spin-polarized hole lifetime is investigated, it shows the potential of NAMD application on the spin dynamics simulation. In NAMD simulations, the scattering of the phonons with the spin-polarized carriers are naturally included. Therefore, it is possible to study the inelastic spin transport problems, which is mainly determined by the charge-phonon interaction. If the spin-orbit coupling (SOC) is further included, the spin flip dynamics are possible to be investigated.

## 9 | SUMMARY AND PERSPECTIVES

This review has summarized our recent theoretical studies of excited carrier dynamics in different condensed matter systems using ab initio time-dependent NAMD simulations based on surface hopping and TDDFT implemented in the Hefei-NAMD

code.<sup>56</sup> The charge transfer dynamics at different interfaces, nonradiative e–h recombination process in semiconductors, and the spin-polarized hole dynamics were discussed. In these different dynamical processes, the excited carrier dynamics are found to be strongly affected by the phonon excitation and e–p coupling. At molecule/solid interface, as we have discussed in CH<sub>3</sub>OH/TiO<sub>2</sub>, H<sub>2</sub>O/LAO/STO, and C<sub>60</sub>/MoS<sub>2</sub> the charge transfer from the molecular orbitals to the electronic bands of the solid substrate are usually dominated by the AD charge transfer, which is provoked by phonon excitation. This is due to the high DOS of the solid around the molecular orbitals. At TMD interfaces, the phonon provoked AD charge transfer can induce ultrafast interlayer charge transfer or phonon-coupled charge oscillation. The timescale of AD charge transfer process is determined by the period of the coupled phonons. The nonradiative e–h recombination in TiO<sub>2</sub> and the spin-polarized hole dynamics in doped MoS<sub>2</sub> are dominated simply by the NA mechanism, which is determined by the NAC term. As we have discussed, the NAC term also strongly depends on the e–p coupling and phonon excitation. The properties of defect phonons play a crucial role in the e–h recombination at defect sites.

Besides the works discussed in this review, we propose that ab initio NAMD simulations can be extended into broader research area based on further development method. Currently, we are developing several different new aspects in Hefei-NAMD including:

1. *The nuclear quantum effects.* Based on CPA approximation, the ab initio MD, which are used to obtain the nuclear trajectory, can be replaced by ring polymer MD based on path integral technique.<sup>153</sup> In this case, the influence of the nuclear quantum effects on the excited carrier dynamics can be studied. We propose that such effects are important for systems containing light elements. For example, in CH<sub>3</sub>OH/TiO<sub>2</sub> system or H<sub>2</sub>O/TiO<sub>2</sub>, we expect the nuclear quantum effects may induce the proton tunneling between the molecule and the TiO<sub>2</sub> surface, which can affect the charge transfer dynamics significantly.
2. *The spin-orbit coupling.* We have used Hefei-NAMD to study the spin-polarized hole dynamics in Cu-doped MoS<sub>2</sub>. Yet for broader applications in spintronics, the SOC effects needs to be considered. There are two representation for the SOC included NAMD: the spin diabatic and spin adiabatic representations.<sup>154</sup> In the spin diabatic representation, the spin-polarized KS orbitals are used as basis sets and the SOC contribute to the off-diagonal elements in the Hamiltonian, which determines the probability of spin flip. In the spin adiabatic representation, the spinor orbitals are used as basis sets. With the SOC NAMD, it is possible to investigate the spin dynamics including the spin flip processes. We propose that it has important applications in spintronics.
3. *The exciton effects.* As we have discussed, the exciton effects are important in 2D systems. The exciton wave functions can be obtained from GW + BSE method. By using the exciton wave functions as basis sets, it is possible to get the exciton dynamics, which can be used to investigate the exciton generation, transfer and annihilation. In our implementation, we use the static screened coulomb potential matrix, namely the *W* in GW approximation, for the MD simulation to reduce computational expense. Under such an approximation, the dielectric environment does not change during the MD simulation.
4. *The improvement of CPA approximation.* In CPA approximation, the nuclear dynamics remains unaffected by the excited carrier. Using  $\Delta$ -SCF or TDDFT method, the effects of excited carrier on the nuclear motions is possible to be included. Zhang and Lu<sup>155</sup> proposed a subspace formulation of the LR-TDDFT, which can be applied in large-scale calculations to obtain the forces on atoms at the excited states. Based on such a method, it is possible to combine the LR-TDDFT with NAMD simulations to improve the CPA approximation.

It should be noted that several other groups are also working in this emerging research frontier. For example, Akimov and coworkers<sup>156</sup> have included SOC in PYXAID code. They have also implemented  $\Delta$ -SCF basis sets in small molecular system.<sup>157</sup> Meng and Kaxiras<sup>45</sup> have included the photoexcitation in the first principle simulations in TDAP. Kaxiras and Kolesov have also developed a similar code TDAP-2.0.<sup>44</sup> We expect that emerging research area will attract more and more interests from scientists in physics and chemistry in the future. The NAMD simulations for the excited carrier dynamics in condensed matter systems will have important applications in different research fields.

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## CONFLICT OF INTEREST

The authors have declared no conflicts of interest for this article.

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